



คณะเภสัชศาสตร์
FACULTY OF PHARMACEUTICAL SCIENCES
Chulalongkorn University



การควบคุมคุณภาพของผลิตภัณฑ์ เซลล์แสงผลทางภูมิคุ้มกัน



Chavee Laomeephol, RPh, PhD

Department of Biochemistry and Microbiology,
Faculty of Pharmaceutical Sciences, Chulalongkorn University

Chavee.L@chula.ac.th



23 December 2024

9.00 – 15.00 hr

Disclaimer

- This presentation is intended solely for academic purposes and should not be used as a reference for regulatory, clinical, or manufacturing decisions.
- Any information provided here must be verified against official standard references or guidelines for accuracy and authority.
- Some of the content, including parts of the presentation and speech, may reflect the speaker's personal opinions or views, which are based on current scientific knowledge.
- It is important to note that the accuracy of the information is valid only at the time of the presentation. Users are encouraged to consult credible sources for updated and reliable information.



Key topics

1

Introduction to IECs

2

**Control Strategy:
Monographs and Specifications**

3

Quality control

4

Future prospects of IEC treatment



Tumor Immunology: Introduction

Innate immunity is nonspecific and rapidly generates defense reactions within foreign antigen encounters.

Adaptive immunity is based on the clonal selection of T cells and B cells with receptors recognizing specific 'non-self' antigens.

Innate Immunity

APCs:
• Antigen uptake
• MHC class I

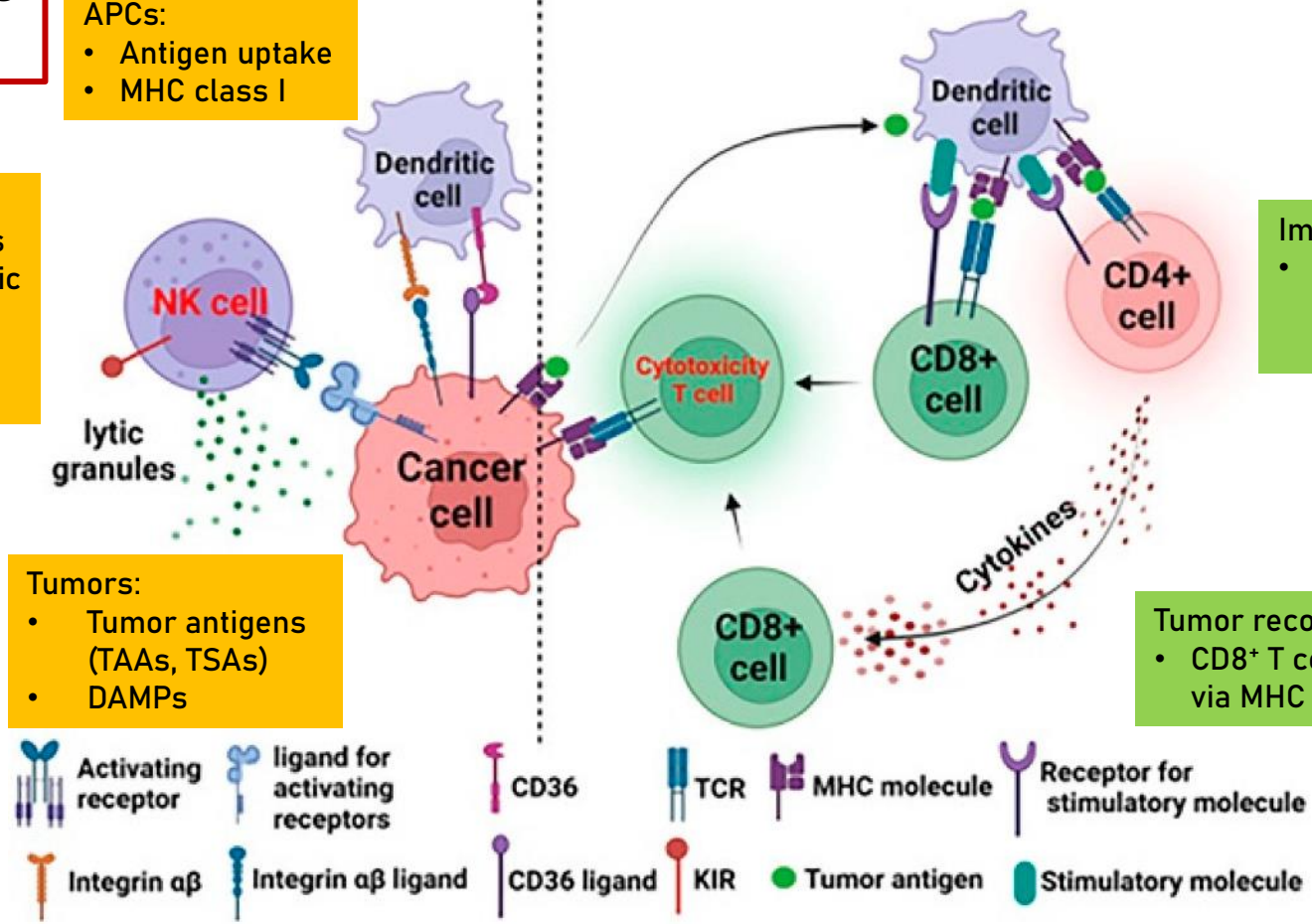
NK cells:
• Stress signals
• Direct cytotoxic
• ADCCs
• Cytokine production

Tumors:
• Tumor antigens (TAAs, TSAs)
• DAMPs

Adaptive Immunity

Immune priming:
• Professional APCs (MHC class II) > CD4⁺ helper T cells > Cytokine production

Tumor recognition:
• CD8⁺ T cells recognize via MHC class I

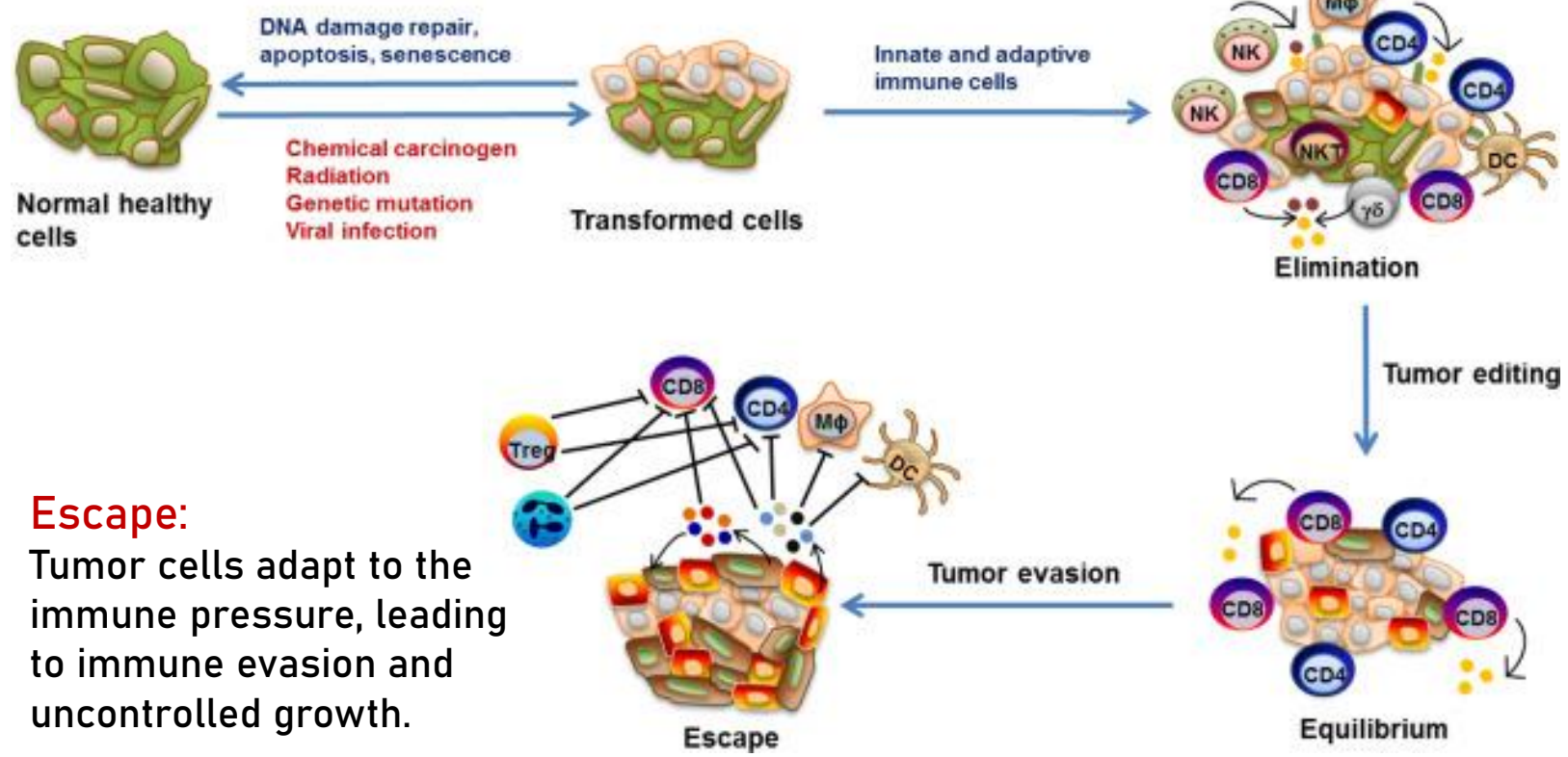


Yu Y. The Function of NK Cells in Tumor Metastasis and NK Cell-Based Immunotherapy. *Cancers (Basel)*. 2023 Apr 16;15(8):2323.



Tumor Immunology: **Immunosurveillance**

- Normal cell
- Highly immunogenic tumor cell
- Poorly immunogenic tumor cell
- Immuno-evasive tumor cell
- IFN- γ
- TNF- α
- Tumor antigen
- IL-10
- TGF- β
- IL-6
- PGE2
- VEGF
- IDO



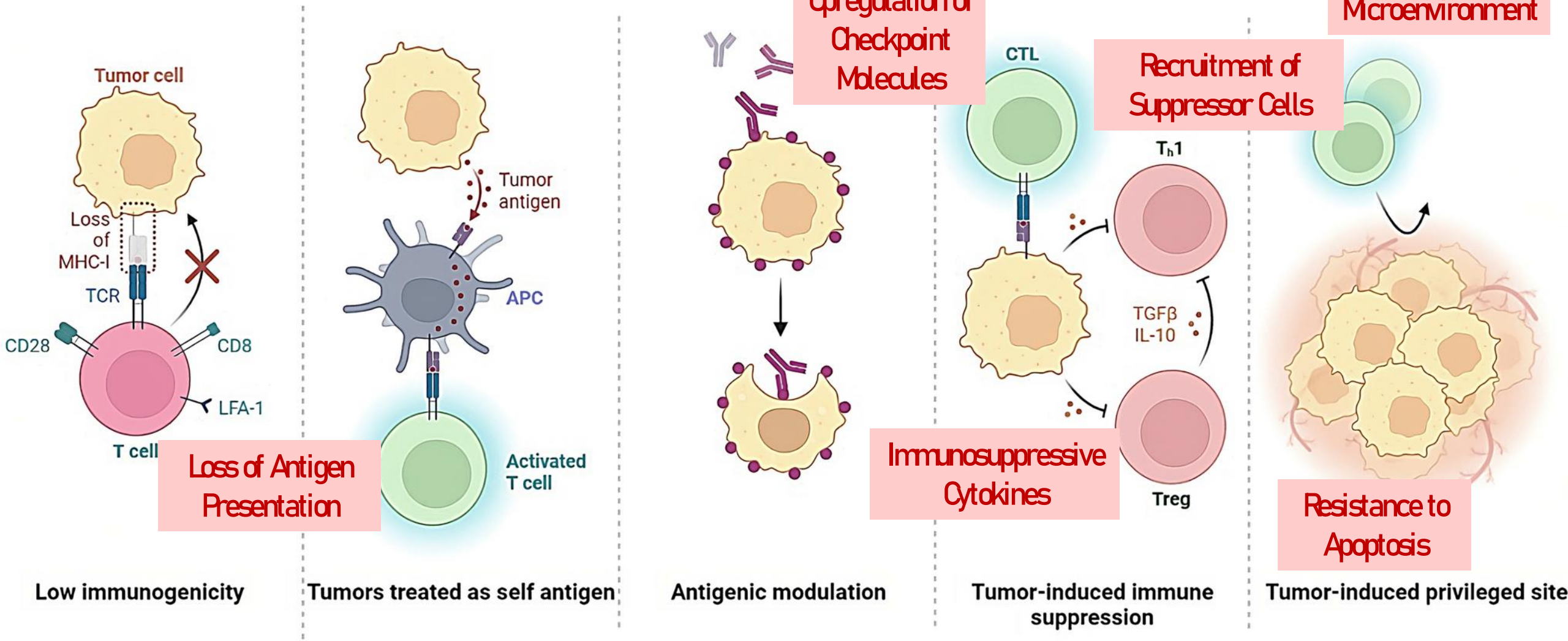
Escape:
Tumor cells adapt to the immune pressure, leading to immune evasion and uncontrolled growth.

Elimination:
Immune cells are successfully detected and destroyed by immune cells.

Equilibrium:
Tumor cells can persist to immune responses, so they remain dormant.



Tumor Immunology: Immune Escape

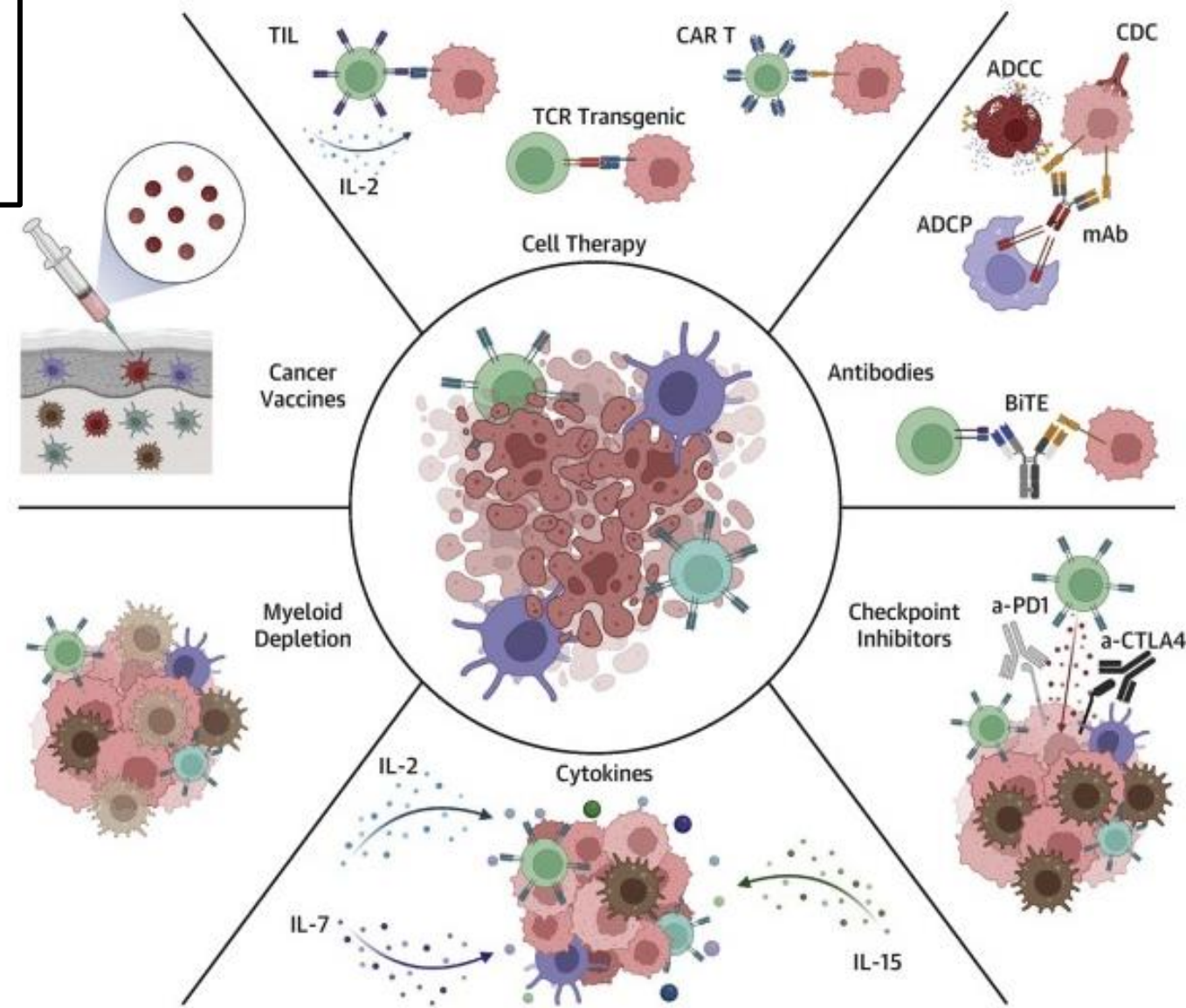


Kallingal, A, Olszewski, M, Maciejewska, N et al. Cancer immune escape: the role of antigen presentation machinery. *J Cancer Res Clin Oncol* 149, 8131–8141 (2023).



Tumor Immunology: Immunotherapy

- Cell therapy.**
- TILs
 - CAR therapy
 - CIK cells



- Biologics**
- mAbs
 - ADCs
 - BiTEs
 - Cytokine therapy

- Small molecules**
- Targeted therapy

Recap: Tumor Immunology and Immunotherapy

- **Tumor Immunology:** The immune system's ability to recognize and respond to abnormal cells, such as tumors.
- **Immunosurveillance and Immune Escape:** The dynamic balance between tumor growth and immune control, occurring in three phases: elimination, equilibrium, and escape.
- **Immunotherapy:** Cancer treatments based on tumor immunology principles, including targeted therapies, immune checkpoint inhibitors, immune effector cells, and cytokine-based therapies.



Immune Effector Cells: Overview

T Cells (CD8⁺, CD4⁺)

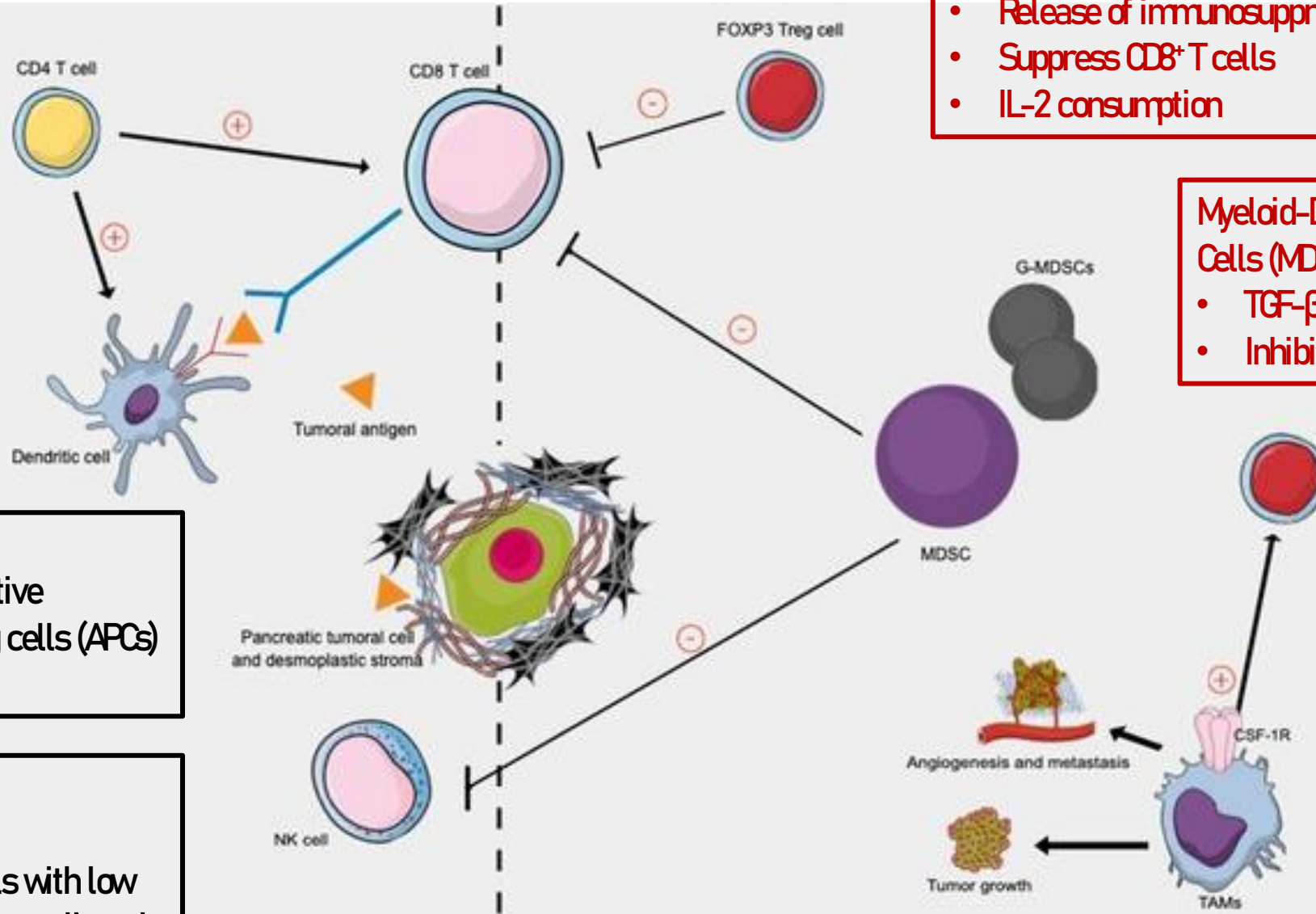
- Adaptive immunity
- Cytotoxic (CD8⁺): Direct tumor killing
- (CD4⁺): Cytokine secretion

Dendritic Cells (DCs)

- Bridge innate/adaptive
- Antigen-presenting cells (APCs)
- Activating T cells

Natural Killer (NK) Cells

- Innate immunity
- Recognize and kill cells with low MHC-I expression or stress ligands



Regulatory T Cells (Tregs)

- FoxP3⁺, CD25⁺
- Release of immunosuppressive cytokines
- Suppress CD8⁺ T cells
- IL-2 consumption

Myeloid-Derived Suppressor Cells (MDSCs)

- TGF-β and IL-10 Secretion
- Inhibit T cell activation

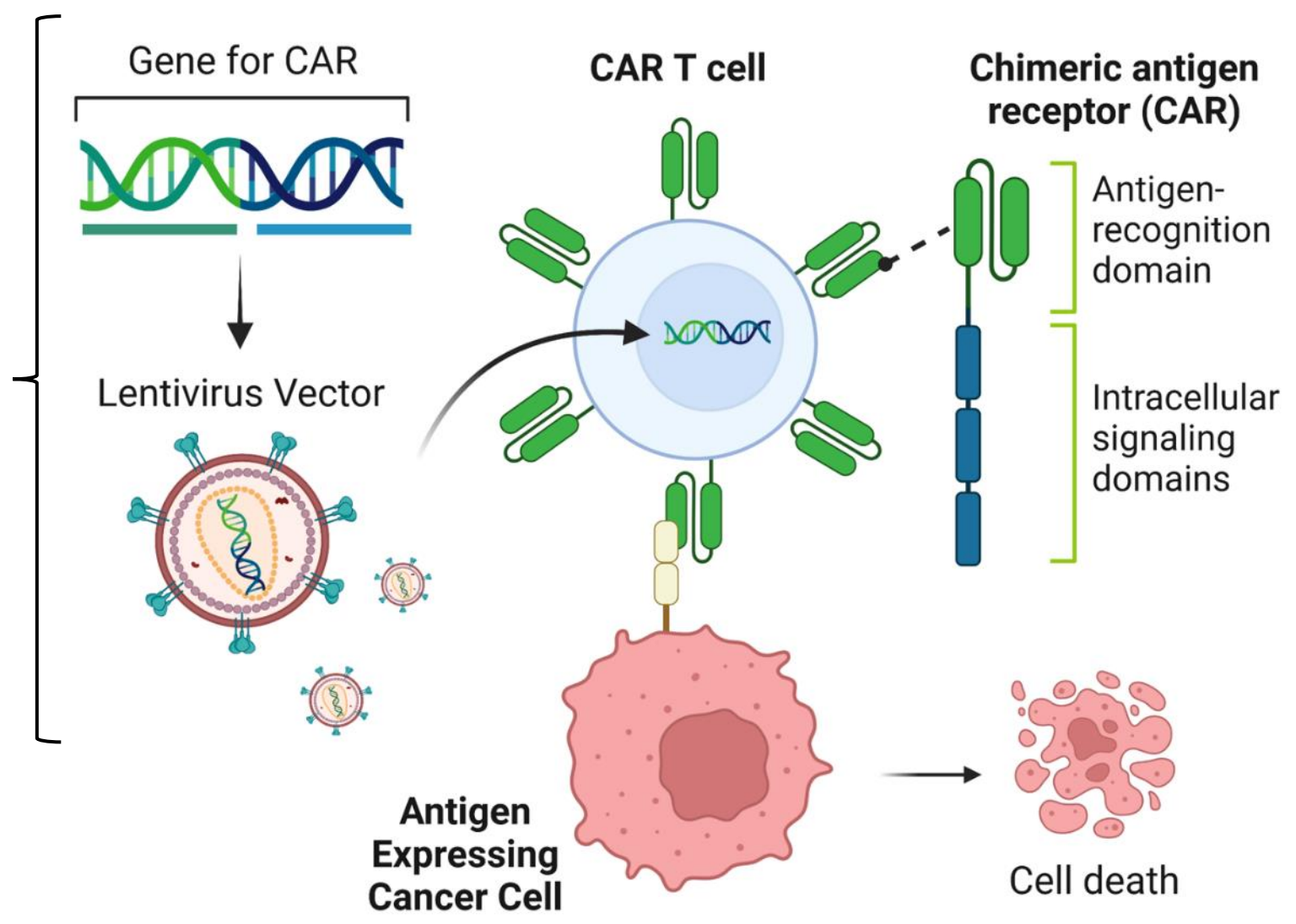
Tumor-associated macrophages (TAMs)

- M2 polarization
- Promote angiogenesis, suppress T cells, recruit Tregs



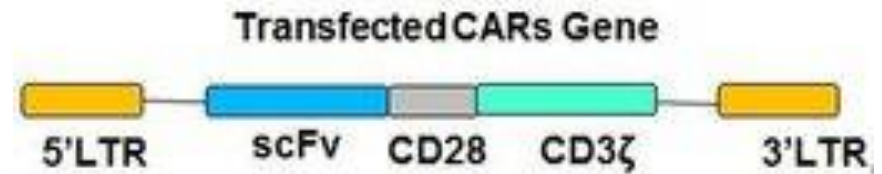
Immune Effector Cells: CAR Therapy

Genetic modification using recombinant DNA technology





Immune Effector Cells: CAR Characteristics

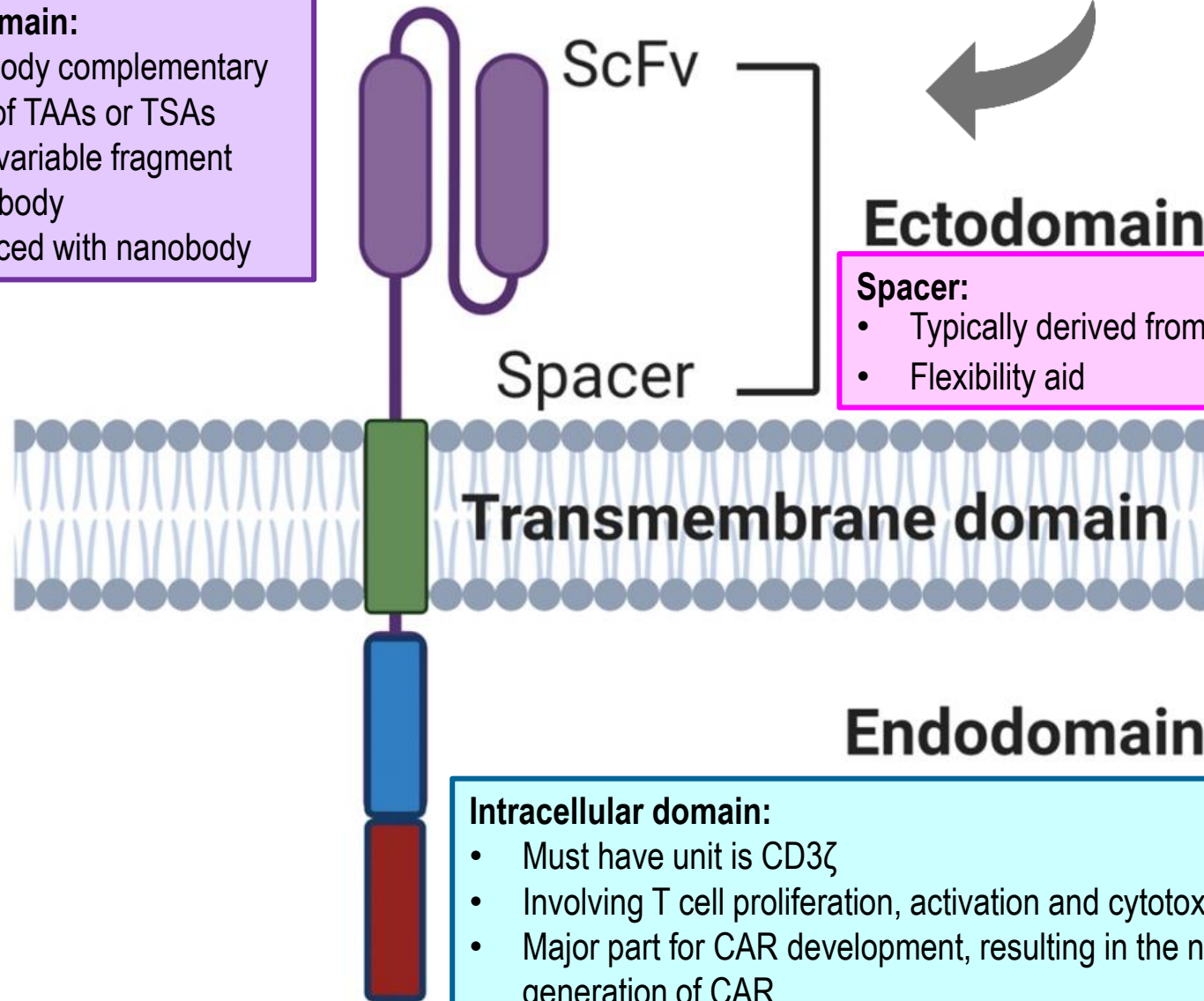


Extracellular domain:

- Antigen-antibody complementary
- Recognition of TAAs or TSAs
- Single chain variable fragment (scFv) of antibody
- Can be replaced with nanobody

Transmembrane domain:

- Typically derived from CD28 or CD8
- Linker of extra- and intracellular domain
- Signal transfer after the binding to recognition antigen



Ectodomain

Spacer:

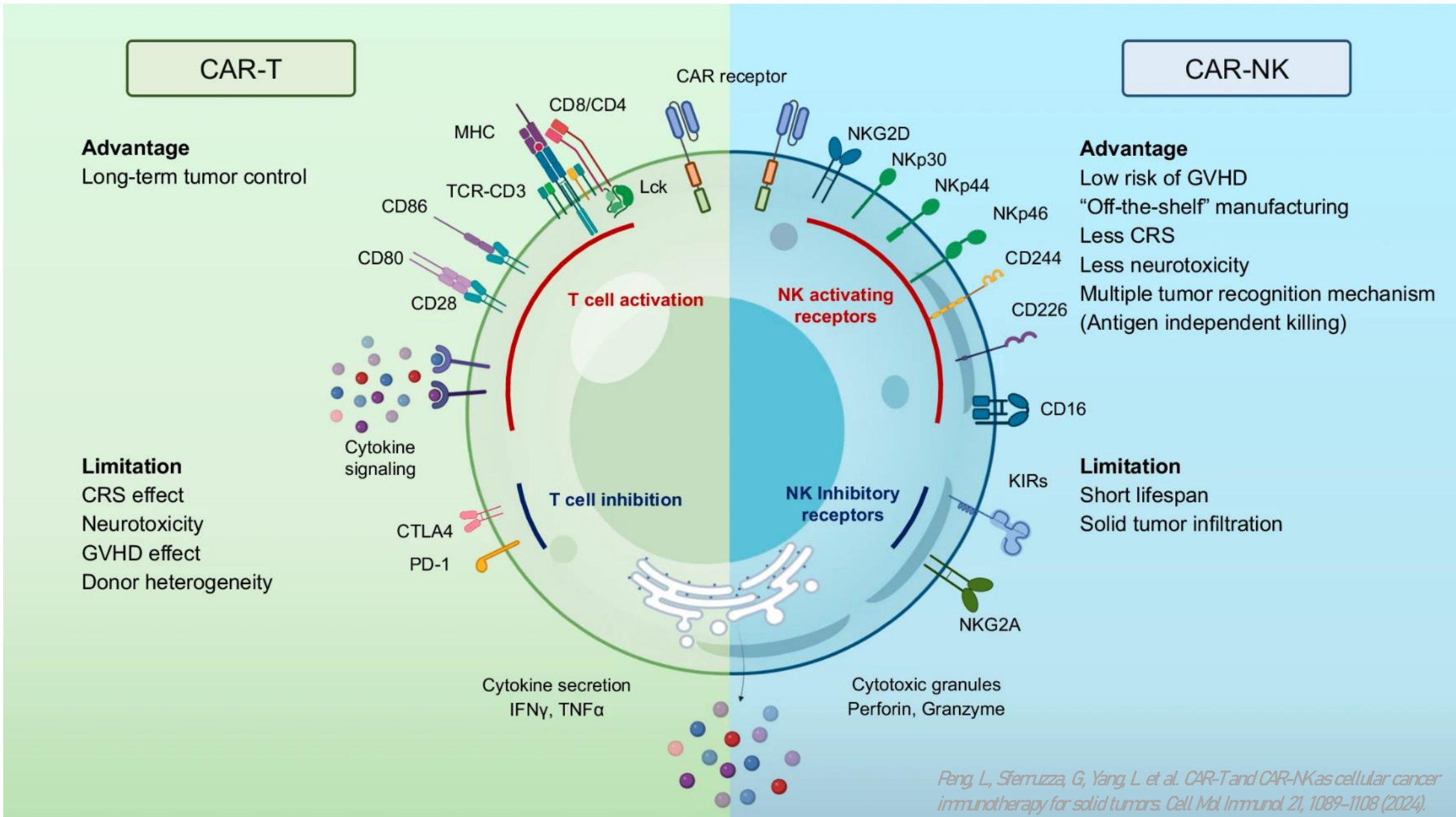
- Typically derived from CD28 or CD8
- Flexibility aid

Intracellular domain:

- Must have unit is CD3 ζ
- Involving T cell proliferation, activation and cytotoxicity
- Major part for CAR development, resulting in the new generation of CAR

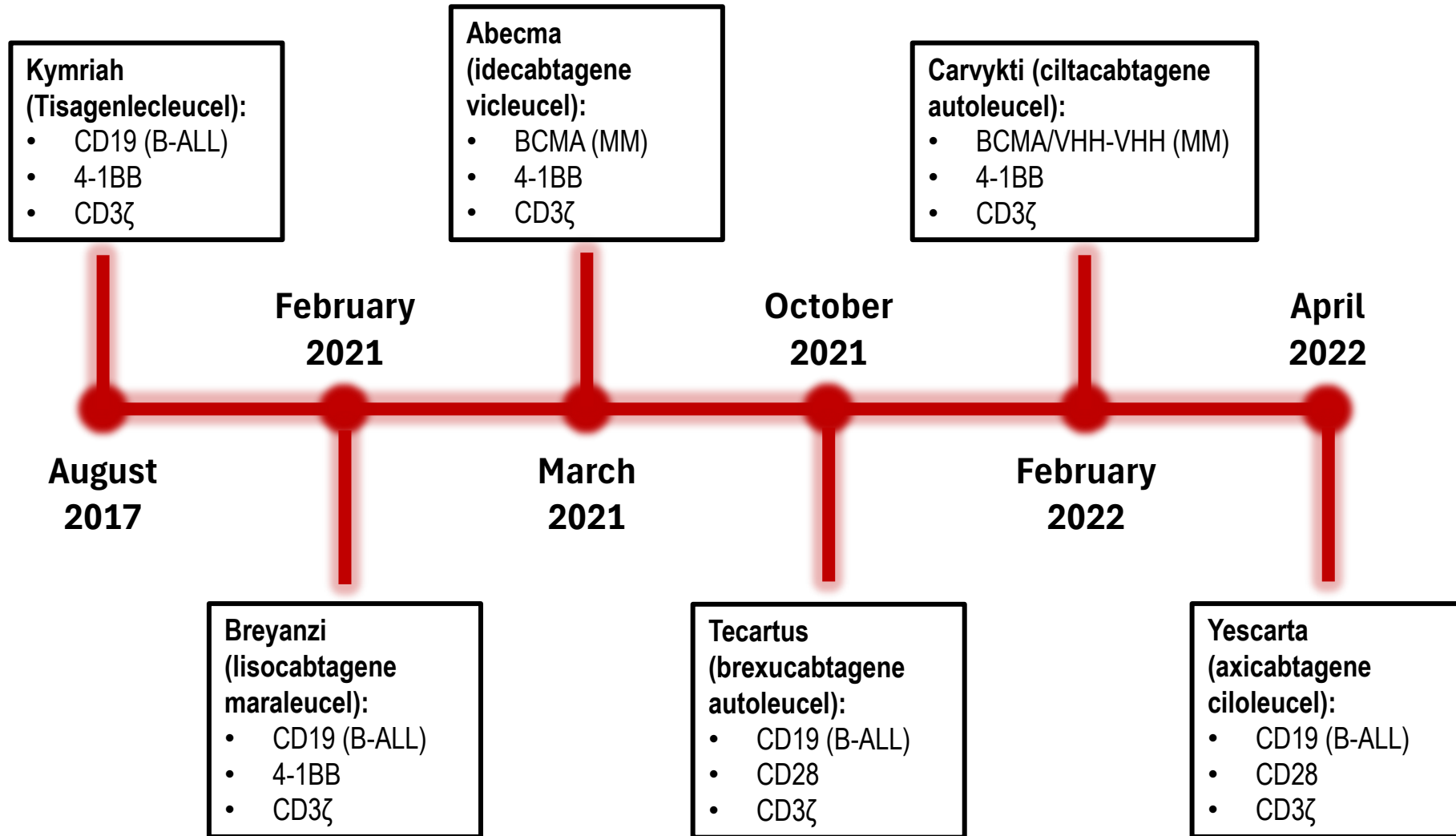


Immune Effector Cells: CAR T vs CAR NK Cells



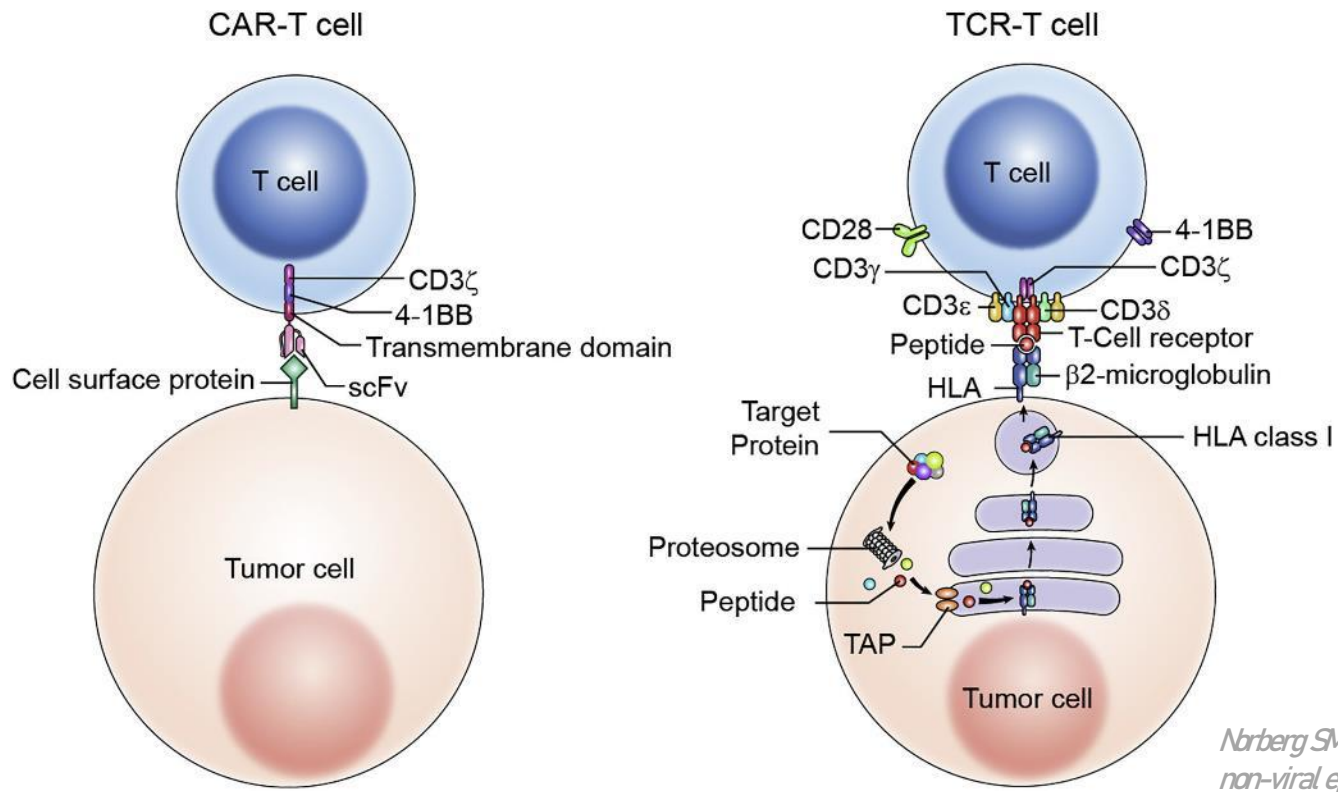


Immune Effector Cells: CAR Products





Immune Effector Cells: TCR Therapy



Nrberg SM, Hinrichs CS Engineered T cell therapy for viral and non-viral epithelial cancers. Cancer Cell. 2023 Jan 9;41(1):58-69.

Features	TCR Therapy	CAR Therapy
Target antigen	Intracellular antigens presented by MHC molecules	Surface antigens only
MHC Dependency	Yes, requires compatibility with specific HLA alleles	No, MHC-independent
Applications	Suitable for solid tumors and hematologic malignancies	Primarily effective in hematologic cancers, limited in solid tumors
Feasibility of Allogeneic Use	Limited due to MHC restriction	Increasing feasibility with TCR-knockout strategies
Development Stage	Experimental, under clinical investigation	Established, with FDA-approved products



Immune Effector Cells: TCR T Cell Products

Tecelra[®]
 afamitresgene autoleucel
 suspension for IV infusion

Tecelra

- Afamitresgene autoleucel (afami-cel)
- First FDA-approved T-cell receptor (TCR) therapy
- For metastatic synovial sarcoma

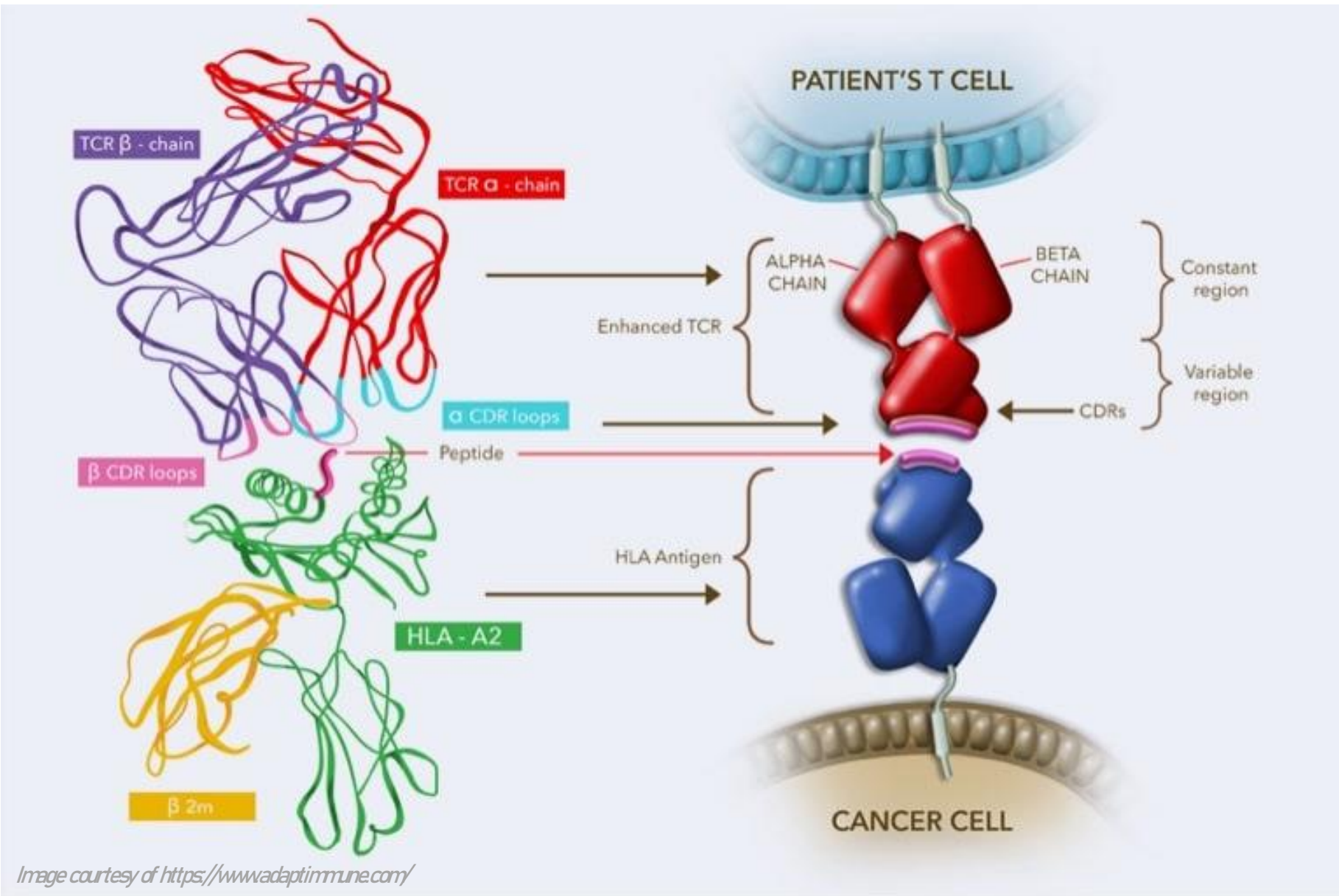


Image courtesy of <https://www.adaptimmune.com/>



CAR & TCR Products: Manufacturing Process

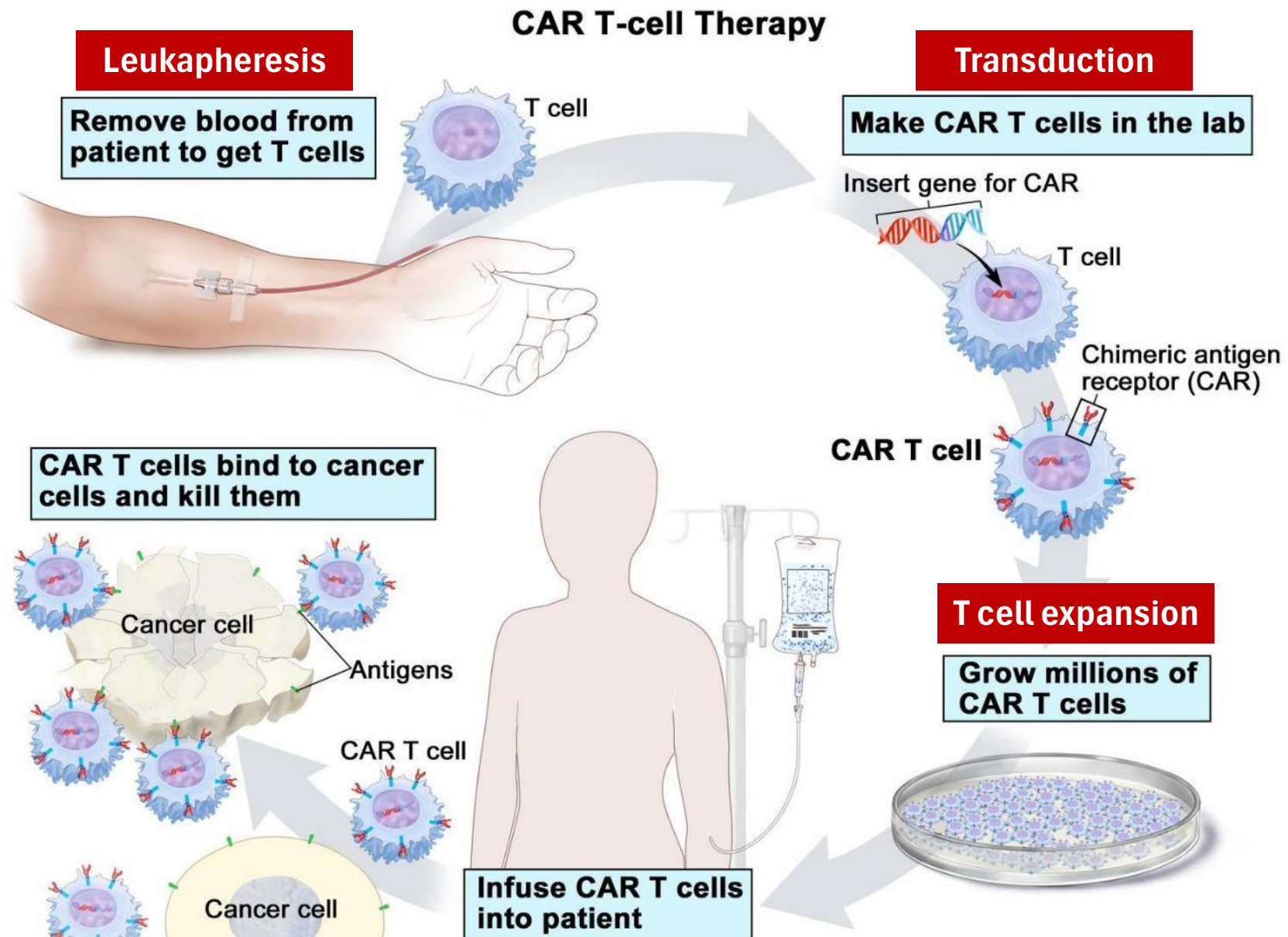


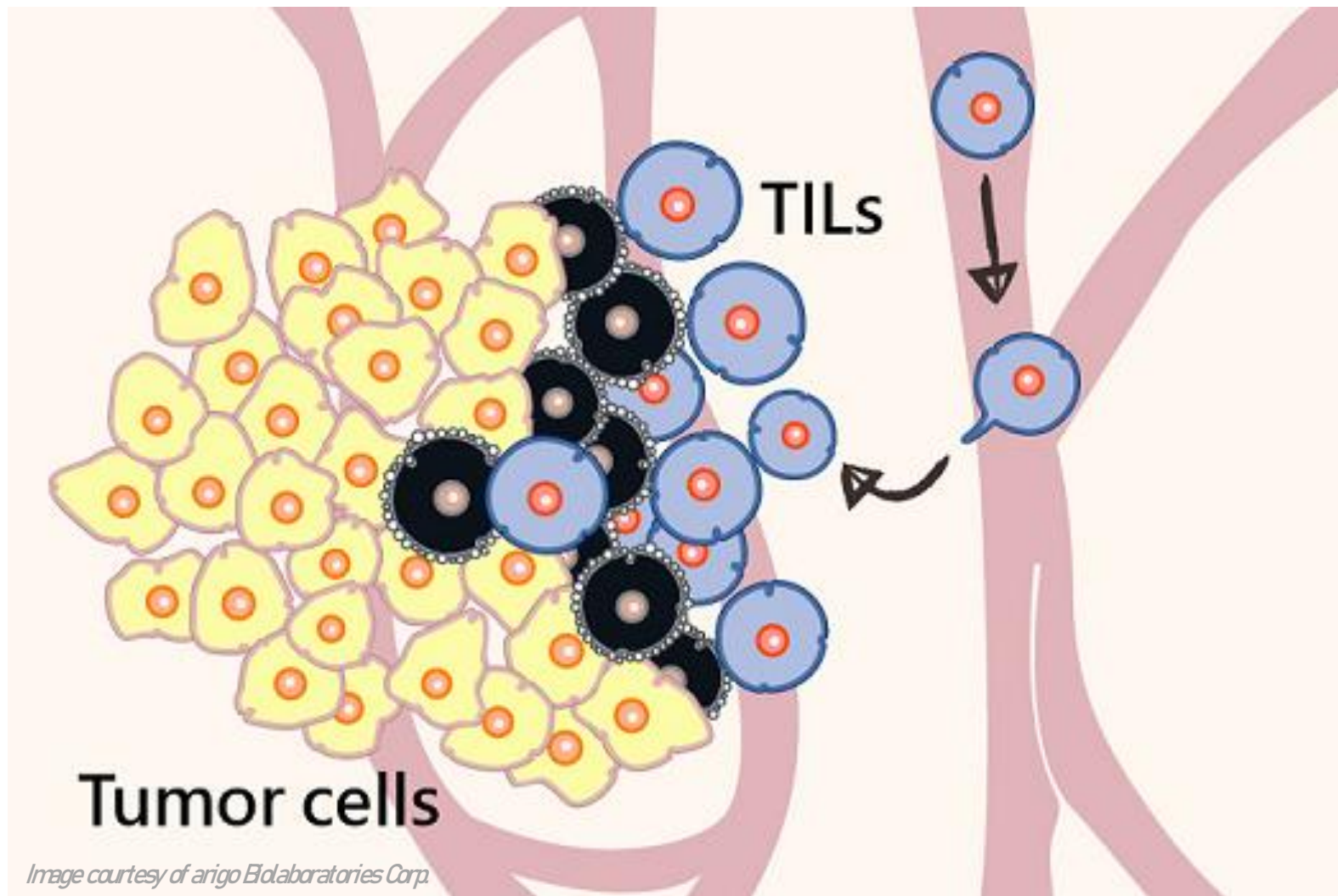
Image courtesy of National Cancer Institute © 2017 Terese Winslow LLC



Immune Effector Cells: TILs

Tumor-Infiltrating Lymphocytes (TILs)

- **Immune effector cells** found within the tumor microenvironment (TME)
- TIL population: CD8⁺ T cells, CD4⁺ T cells, $\gamma\delta$ T cells, B cells, NK cells
- TILs can recognize multiple antigens, including **neoantigens**, which are unique to individual tumors
- TIL therapy is particularly promising for **solid tumors**, such as melanoma, where TILs naturally infiltrate





Immune Effector Cells: TIL Product and Manufacturing



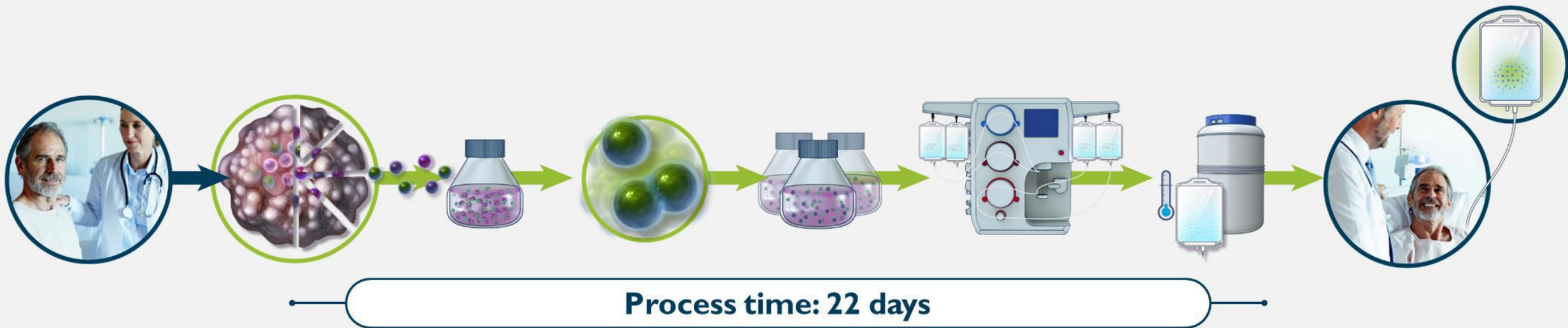
AMTAGVI (lifileucel), Iovance Biotherapeutics, Inc.

EXCISE: A tumor lesion is surgically resected

EXTRACT: Tumor tissue is fragmented and pooled fragments are cultured with IL-2 for TIL to accumulate in media

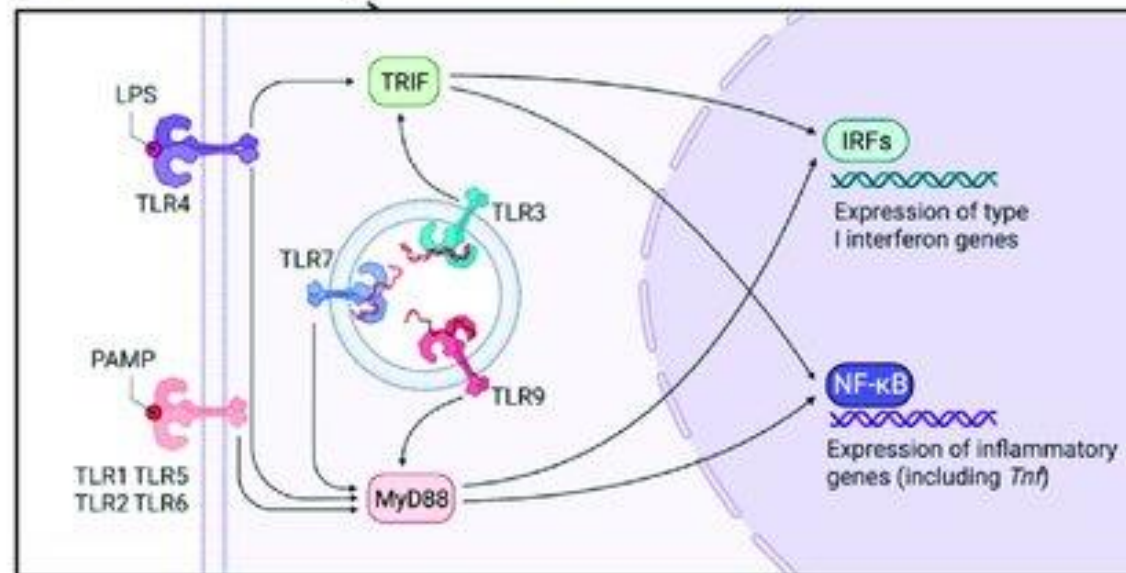
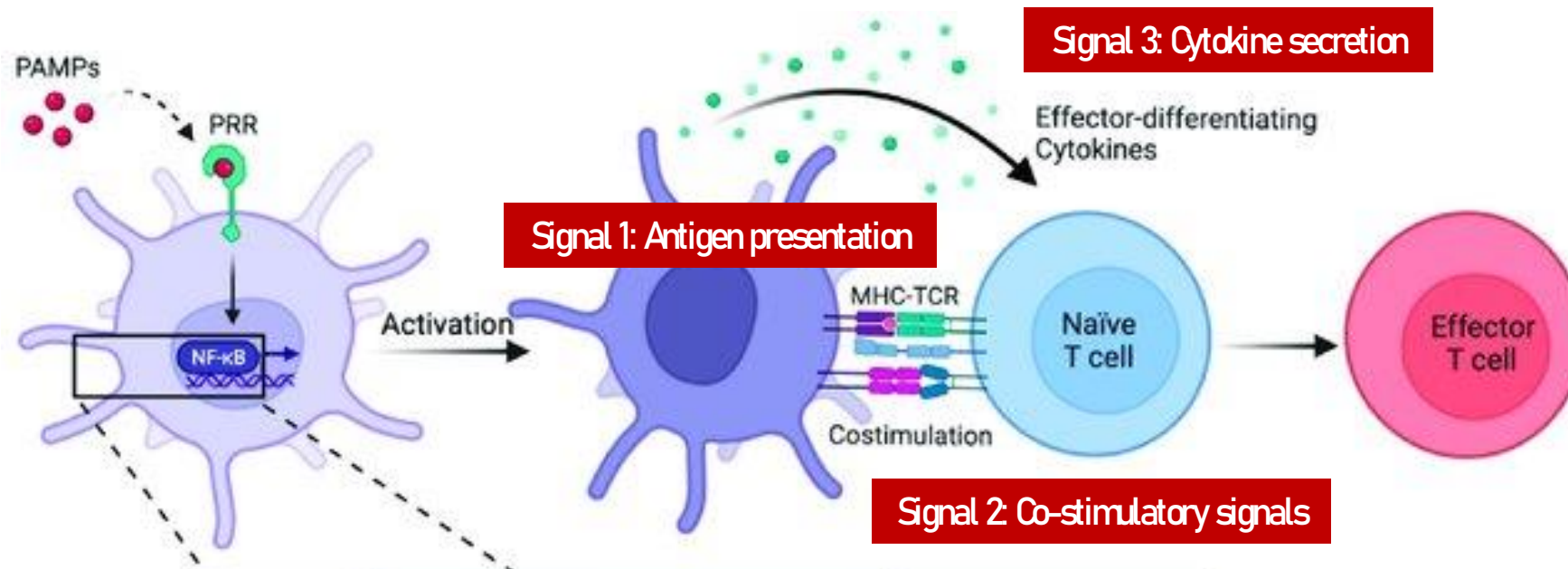
EXPAND: Massive expansion of TIL occurs in the presence of OKT3 & feeder cells, yielding a polyclonal mixture of $10^9 - 10^{11}$ TIL

PREPARE & INFUSE: Patient receives non-myeloablative lymphodepletion and is infused with their (autologous) expanded TIL and IL-2



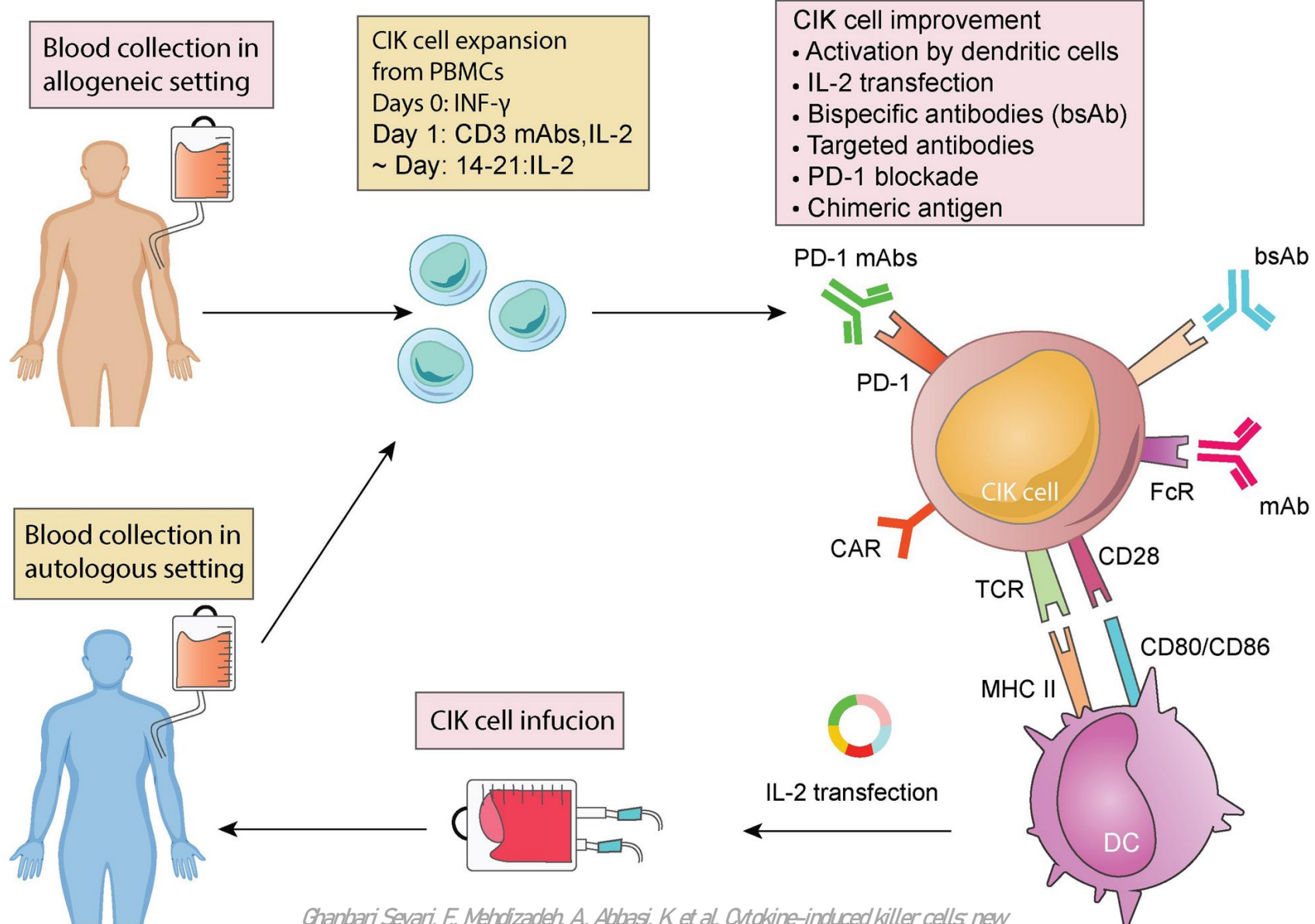


Immune Effector Cells: CIKs



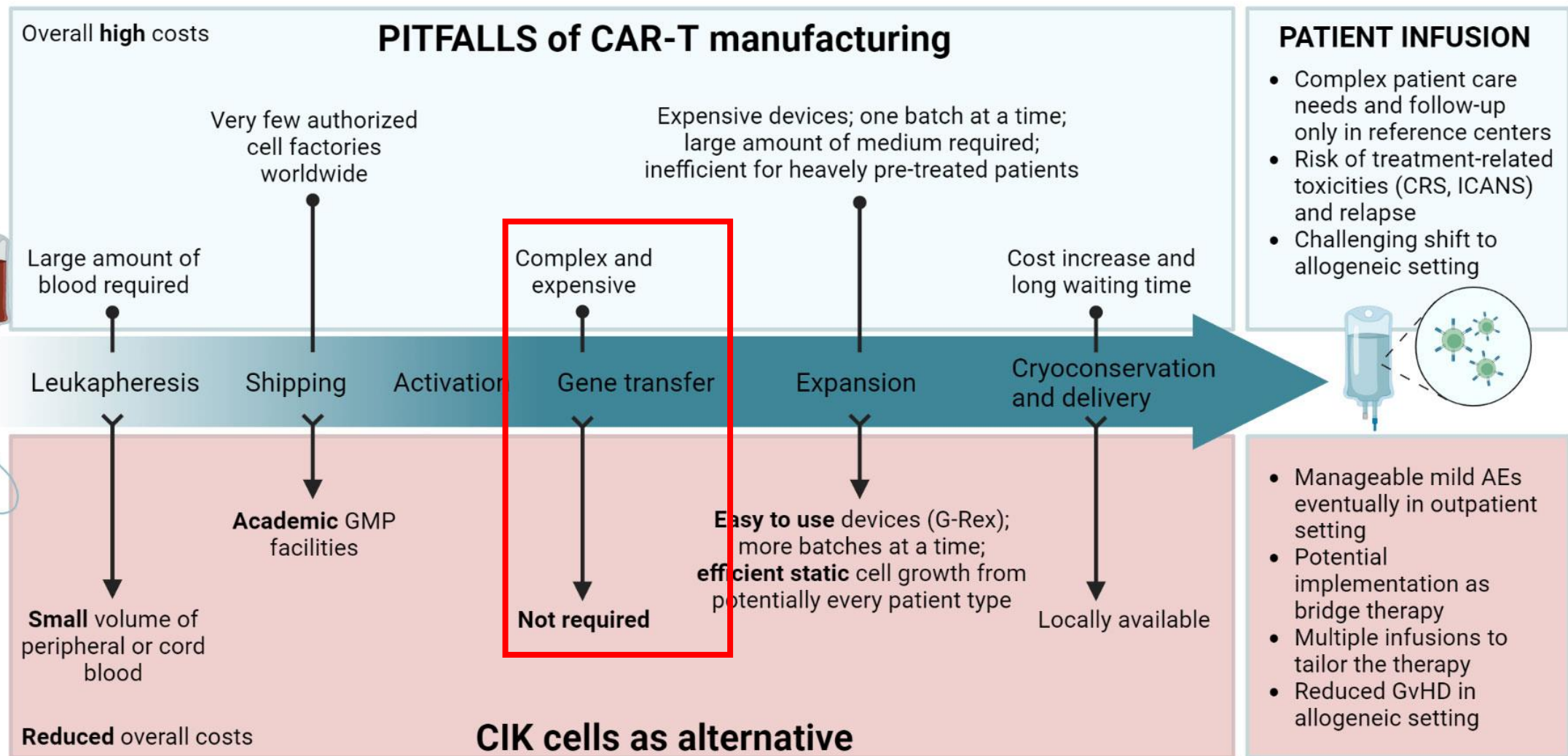


Immune Effector Cells: Manufacturing of CIK cell products





Immune Effector Cells: **CIK cells vs CAR T cells**



Cappuzzello E, Vigolo E, DiAccordio G, Astori G, Rosato A, Sommaggio R. How can Cytokine-induced killer cells overcome CAR-T cell limits. Front Immunol. 2023 Aug 22;14:1229540.

Recap: Immune Effector Cells

- **Immune Effector Cells:** T cells (CD8⁺ cytotoxic T lymphocytes & CD4⁺ T helper cells), dendritic cells, and NK cells.
- **Current options:** CAR T/NK cells, TCR-engineered T cells, tumor-infiltrating lymphocytes (TILs), and cytokine-induced killer (CIK) cells.



Biologics & ATMPs: Lot Release

ประกาศกระทรวงสาธารณสุข

เรื่อง กำหนดชนิดหรือรายการของยาชีววัตถุที่ต้องได้รับ
หนังสือรับรองรุ่นการผลิตก่อนออกจำหน่ายหรือส่งมอบให้ผู้ใช้

เนื่องจากยาชีววัตถุบางชนิดหรือบางรายการ มีกระบวนการผลิตที่มีความซับซ้อนและใช้วัตถุดิบที่มีโอกาสการปนเปื้อนสูง ตลอดจนประกอบด้วยตัวยาสำคัญซึ่งเป็นสารชีวโมเลกุลที่มีโครงสร้างซับซ้อน จึงทำให้เกิดความเสี่ยงต่อประชาชนเนื่องจากเกิดความแปรปรวนหรือความไม่สม่ำเสมอในด้านคุณภาพของผลิตภัณฑ์ ซึ่งอาจส่งผลกระทบต่อประสิทธิภาพของยาหรือกระทบต่อความปลอดภัยจากการใช้ยา จึงจำเป็นต้องมีการควบคุมคุณภาพในทุกขั้นตอนของการผลิต

ดังนั้น อาศัยความในข้อ ๔ ของกฎกระทรวงว่าด้วยการรับรองรุ่นการผลิตยาแผนปัจจุบันที่เป็นยาชีววัตถุ พ.ศ. ๒๕๕๓ รัฐมนตรีว่าการกระทรวงสาธารณสุข จึงออกประกาศไว้ ดังต่อไปนี้

ข้อ ๑ ยาชีววัตถุสำหรับมนุษย์ (Biologics for human use) ชนิดหรือรายการที่ต้องได้รับหนังสือรับรองรุ่นการผลิตก่อนออกจำหน่ายหรือส่งมอบให้ผู้ใช้ ได้แก่ ยาชีววัตถุดังต่อไปนี้

- (๑) ผลิตภัณฑ์กลุ่มวัคซีน (vaccines) รวมถึงกลุ่มสารก่อภูมิแพ้ (allergens) ที่ใช้ในการรักษาหรือป้องกันโรค
- (๒) ผลิตภัณฑ์กลุ่มเซรัม (serum)
- (๓) ผลิตภัณฑ์กลุ่มที่สกัดหรือแยกได้จากเลือดหรือพลาสมา (blood-derived or plasma-derived products)
- (๔) สารที่ใช้ในการวิเคราะห์โรคซึ่งใช้โดยตรงต่อร่างกายมนุษย์ (diagnostic agents) รวมถึงสารก่อภูมิแพ้ (allergens) ที่ใช้ในการวิเคราะห์โรคซึ่งใช้โดยตรงต่อร่างกายมนุษย์ ที่มีใช้เครื่องมือแพทย์ ตามกฎหมายว่าด้วยเครื่องมือแพทย์

ข้อ ๒ ยาชีววัตถุสำหรับสัตว์ (Biologics for veterinary use) ชนิดหรือรายการที่ต้องได้รับหนังสือรับรองรุ่นการผลิตก่อนออกจำหน่ายหรือส่งมอบให้ผู้ใช้ ได้แก่ยาชีววัตถุดังต่อไปนี้

- (๑) ผลิตภัณฑ์กลุ่มวัคซีน (vaccines)

ข้อ ๓ ประกาศกระทรวงนี้ให้ใช้บังคับเมื่อพ้นกำหนดเก้าสิบวันนับตั้งแต่วันถัดจากวันประกาศในราชกิจจานุเบกษาเป็นต้นไป

ประกาศ ณ วันที่ ๓ กุมภาพันธ์ พ.ศ. ๒๕๕๕
วิทยา บุรณศิริ
รัฐมนตรีว่าการกระทรวงสาธารณสุข

Biologics

- **Manufacturers:** Production and In-Process Testing, Final Product Testing, Documentation Submission
- **Regulatory authorities:** Regulatory Review, Lot Certification and Release

Cell and gene therapy products

- **Short Shelf Life**
- **Autologous Products:** Limited amount
- **Complexity:** High variation

To ensure that the products meet the requirements on

- **Quality**
- **Safety**
- **Efficacy**



Biologics & ATMPs: References

Official

Non-official

- BP
- USP/NF
- Ph.Eur.
- JP
- ICH guidelines
- Supplier Specifications
- In-house Specifications

ข้อ ๓ ให้ตำรายาต่อไปนี้เป็นตำรายาแผนปัจจุบัน

๓.๑ ตำรายาของประเทศไทย ฉบับที่ ๒ เล่มที่ ๑ ภาค ๑ และฉบับเพิ่มเติม

(Thai Pharmacopoeia II, Volume I, Part 1 and Supplements) ซึ่งจัดพิมพ์โดยกระทรวงสาธารณสุข

๓.๒ ตำรามาตรฐานยาสมุนไพรไทย เล่มที่ ๑ เล่มที่ ๒ เล่มที่ ๓ เล่มที่ ๔

และฉบับเพิ่มเติม (Thai Herbal Pharmacopoeia Volume I Volume II Volume III Volume IV and Supplements)

๓.๓ ตำรามาตรฐานยาสมุนไพรไทย พ.ศ. ๒๕๖๐ และฉบับเพิ่มเติม (Thai Herbal

Pharmacopoeia 2017 and Supplements)

๓.๔ ตำราอินเตอร์เนชันนาลฟาร์มาโคเปีย ฉบับพิมพ์ครั้งที่ ๕ และฉบับเพิ่มเติม

(The Fifth Edition of The International Pharmacopoeia and Supplements)

๓.๕ ตำราฟาร์มาโคเปียของสหรัฐอเมริกา ฉบับพิมพ์ครั้งที่ ๓๙ (ค.ศ. ๒๐๑๖)

และฉบับเพิ่มเติม (The United States Pharmacopoeia, Thirty-Ninth Revision, and The National Formulary, Thirty-Fourth Edition and Supplements)

๓.๖ ตำราบริติชฟาร์มาโคเปีย ฉบับ ค.ศ. ๒๐๑๖ เล่มที่ ๑-๕ (British Pharmacopoeia

2016 Volume 1 - 5)

๓.๗ ตำราบริติชฟาร์มาโคเปีย (สัตวแพทยศาสตร์) ฉบับ ค.ศ. ๒๐๑๖ (British

Pharmacopoeia (Veterinary) 2016)

๓.๘ ตำรายุโรปเนียนฟาร์มาโคเปีย ฉบับพิมพ์ครั้งที่ ๘ (ค.ศ. ๒๐๑๔) และฉบับเพิ่มเติม

(The Eighth Edition of The European Pharmacopoeia and Supplements)

“๓.๙ ตำราฟาร์มาโคเปียของประเทศญี่ปุ่น ฉบับพิมพ์ครั้งที่ ๑๗ และฉบับเพิ่มเติม

(The Seventeenth Edition of The Japanese Pharmacopoeia and Supplements)”



Biologics & ATMPs: **Monographs**



USP has stated that it will not develop a new monograph for a biologic unless there is stakeholder consensus supporting its creation, including the support of FDA. USP's proposed revision is intended to align compendial names with FDA's biologics naming approach and avoid potential issues for manufacturers and other stakeholders.

USP statement on monographs for biologics, April 2, 2018



FDA has already communicated to USP the Agency's detailed concerns regarding biological product monographs.⁶ In a 2014 letter to USP, FDA cited significant concern that monographs for biological products may impede or delay innovative technology and present an additional, unnecessary burden on regulated industry. As an alternative, FDA encouraged USP to develop optional standards that are "consistent with the flexible approach FDA uses to properly account for the complex nature of biological products."⁷

FDA Letter to USP, March 28, 2018



Biologics & ATMPs: Specifications

Acceptance criteria

A **specification** is defined as a **list of tests**, **references to analytical procedures**, and appropriate **acceptance criteria** which are numerical limits, ranges, or other criteria for the tests described. It establishes the set of criteria to which a new drug substance or new drug product should conform to be considered acceptable for its intended use. **"Conformance to specifications"** means that the drug substance and / or drug product, when tested according to the listed analytical procedures, will meet the listed acceptance criteria. Specifications are **critical quality standards** that are proposed and justified by the manufacturer and approved by regulatory authorities as conditions of approval.

Quality attribute	Test procedure	Acceptance criteria
Appearance	Visual inspection	Complies with in-house description
Identification		
pl of intact protein	Imaged capillary isoelectric focusing	Conforms to reference material
Tryptic peptide mapping	RPLC-UV	Conforms to reference material
Bioidentity	Activity binding ELISA	Conforms to reference material
Purity and impurities		
Product-related substances and impurities: Charge variants		
Main peak	IEX-UV	NLT 65.0%
Acidic peak	IEX-UV	NMT 15.0%
Basic peak	IEX-UV	NMT 20.0%
Product-related substances and impurities: Size variants		
Major peak	CE-SDS (non-reduced)	NLT 97.0%
Heavy and light chain peaks	CE-SDS (reduced)	NLT 99.0%
Monomer	SEC-UV	NLT 98.5%
High molecular weight species	SEC-UV	NMT 1.0%
Low molecular weight species	SEC-UV	NMT 0.5%
Product-related substances and impurities: Post-translational modification (Glycosylation)		
N-glycan profiling	HILIC–Fluorescence detector	Conforms to the limit of each glycan
Process-related impurities		
Residual host cell protein	ELISA	NMT 20 ppm (ng/mg)
Residual protein A	ELISA	NMT 5 ppm (ng/mg)
Residual DNA	qPCR	NMT 40 ppb (pg/mg)
Additional process-related impurity
Protein concentration	UV spectroscopy	90.0%–110.0% labeled amount
Potency		
Functional assay	Cell-based bioassay	70.0%–130.0%
Additional tests		
pH	USP (791)	5.5–6.5
Bacterial endotoxins	USP (85)	NMT 0.20 EU/mg
Total microbial count	USP (61)	NMT 1 CFU/mL
Mycoplasma	USP (63)	Negative result of its nucleic acid

A list of tests

References

CE: capillary electrophoresis; ELISA: enzyme-linked immunosorbent assay; HILIC: hydrophilic interaction chromatography; IEX: ion exchange chromatography; NLT: not less than; NMT: not more than; pl: isoelectric point; qPCR: quantitative polymerase chain reaction; RPLC: reversed phase liquid chromatography; SDS: sodium dodecyl sulfate; SEC: size exclusion chromatography; USP: United States Pharmacopoeia; UV: ultraviolet.

Limpikirati PK, Mongkoltipparat S, Denchaipradit T, Siwasophonpong N, Pornnopparat W, Ramanandana P, Panpaktr P, Tongchusak S, Tian M, Pisitkun T. Basic regulatory science behind drug substance and drug product specifications of monoclonal antibodies and other protein therapeutics. *J Pharm Anal.* 2024 Jun;14(6):100916.



Biologics & ATMPs: Specifications

ICH HARMONISED TRIPARTITE GUIDELINE

**SPECIFICATIONS: TEST PROCEDURES AND ACCEPTANCE CRITERIA
FOR NEW DRUG SUBSTANCES AND NEW DRUG PRODUCTS:
CHEMICAL SUBSTANCES**

Q6A

Current Step 4 version
dated 6 October 1999

ICH HARMONISED TRIPARTITE GUIDELINE

**SPECIFICATIONS: TEST PROCEDURES AND ACCEPTANCE CRITERIA
FOR BIOTECHNOLOGICAL/BIOLOGICAL PRODUCTS**

Q6B

Current Step 4 version
dated 10 March 1999

ICH Q6A	
Drug substances	Drug products
Universal tests <ul style="list-style-type: none"> • Description • Identification • Assay • Impurities 	
Specific tests <ul style="list-style-type: none"> • Physicochemical properties • Particle size • Polymorphic forms • Chirality • Water content • Microbial limits 	Specific tests (e.g., parenteral products) <ul style="list-style-type: none"> • Sterility • pH/Osmolarity • Endotoxin/Pyrogens • Particulate matter • Extractables • Water content/Reconstitution time • Re-dispersibility
ICH Q6B	
<ul style="list-style-type: none"> • Appearance and Description • Identity • Quantity • Purity and Impurities • Potency 	<ul style="list-style-type: none"> • Appearance and Description • Identity • Quantity • Purity and Impurities • Potency • General tests & Additional testing for unique dosage forms



Biologics & ATMPs: Setting up a specification

Quality focusing

- **Quality-by-design approaches:** ICH Q8, Q9, Q10, Q14
- Integrate scientific understanding in products and processes with quality risk management (QRM)

Safety and Efficacy focusing

- **Patient-centric specification:** Rely on clinical data and patient impact
- Tighter criteria for high-risk CQAs impacting efficacy and safety

ICH Q8

A QbD

Product understanding

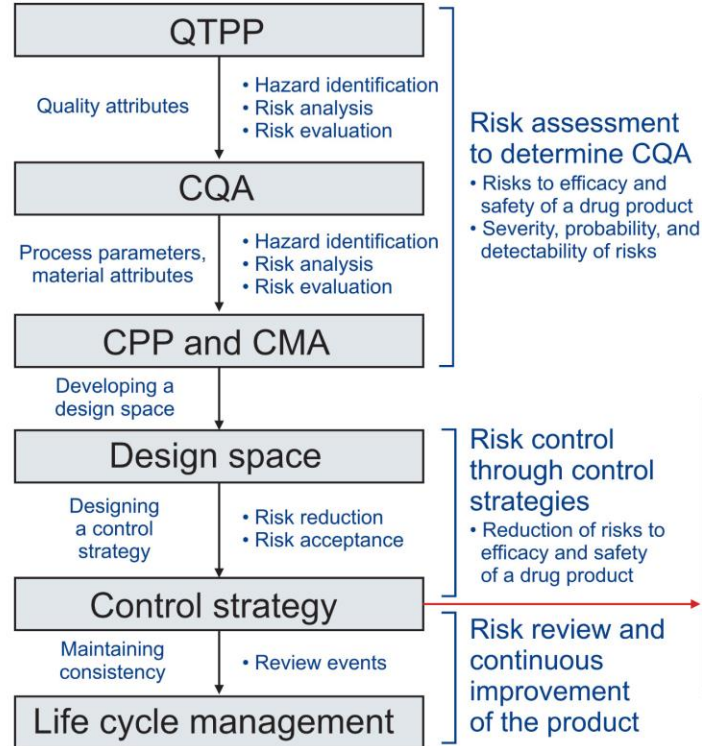
- Prior knowledge
- Product characterization

Process understanding

- Prior knowledge
- Process characterization

Control strategy

- High-risk CQAs: Routine specification testing and other approaches
- Low-risk CQAs: Non-specification approaches
- Non CQAs: Some may be controlled if it is useful to monitor



Risk assessment to determine CQA

- Risks to efficacy and safety of a drug product
- Severity, probability, and detectability of risks

Risk control through control strategies

- Reduction of risks to efficacy and safety of a drug product

Risk review and continuous improvement of the product

ICH Q14

B Analytical QbD

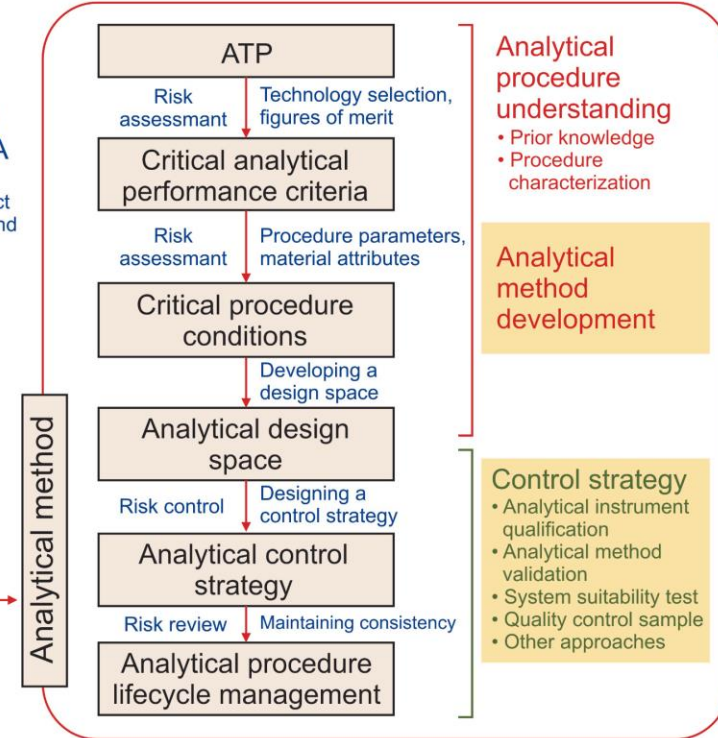
Analytical procedure understanding

- Prior knowledge
- Procedure characterization

Analytical method development

Control strategy

- Analytical instrument qualification
- Analytical method validation
- System suitability test
- Quality control sample
- Other approaches



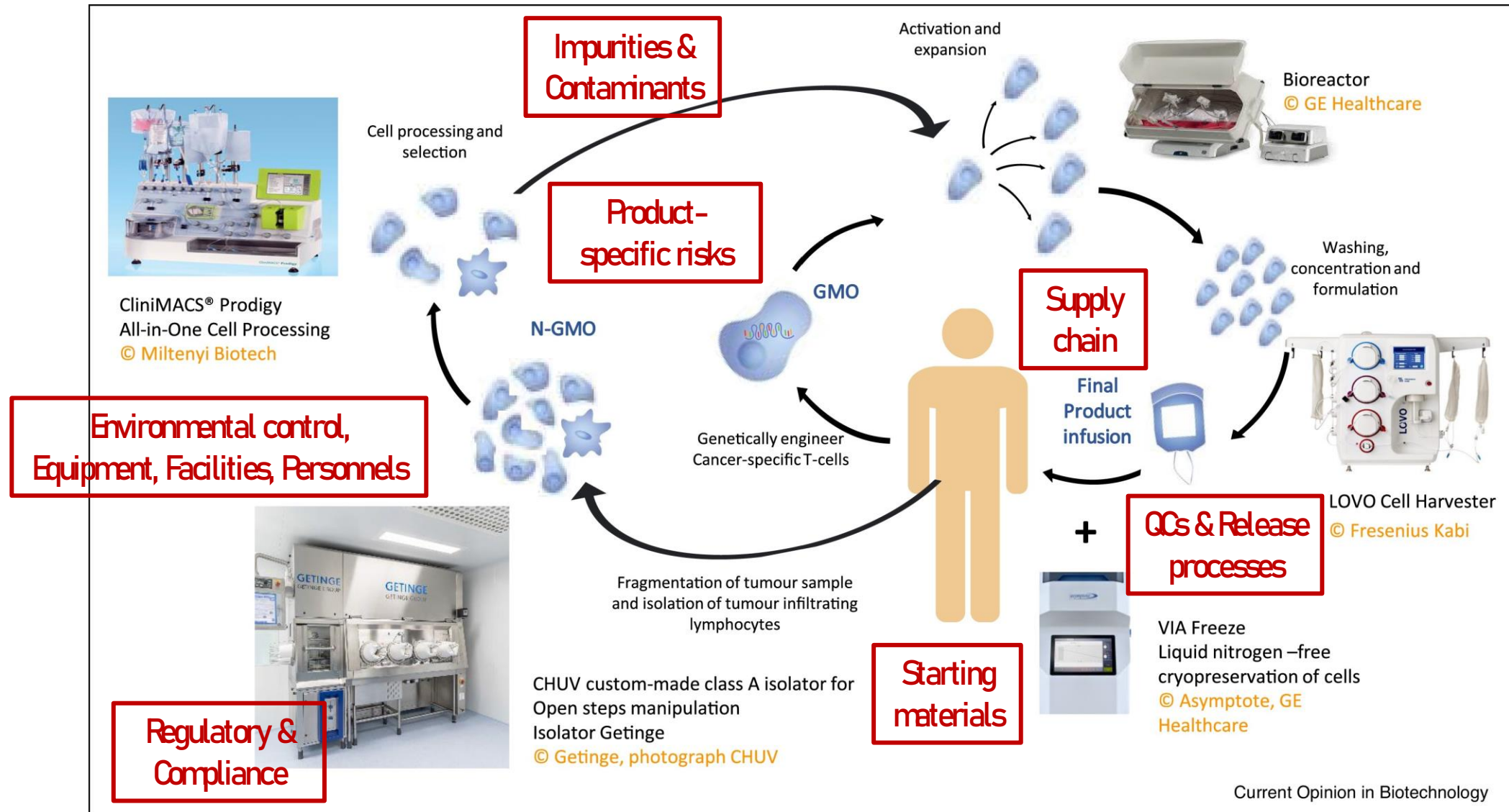
Limpikirati PK, Mongkoltipparat S, Denchaipradit T, Siwasophonpong N, Pornnopparat W, Pannanandana P, Pianpaktr P, Tongchusak S, Tian MT, Pisitkun T. Basic regulatory science behind drug substance and drug product specifications of monoclonal antibodies and other protein therapeutics. *J Pharm Anal.* 2024 Jun;14(6):100916.

Recap: Control Strategy for Biologics & ATMPs

- **Monographs:** Monographs for biologics and ATMPs are often absent in USP. References should rely on ICH guidelines for general concepts and framework.
- **Specifications:** Compose of a list of tests with references and acceptance criteria. Universal tests are similar to chemical drugs but include potency tests due to biologics' complexity.
- **Setting-up a specification:** Must address the quality, safety, and efficacy of the products.



Risk management: Sources





Risk management: **Identifications**

That is the reason why...

“Cell therapy products are really only defined by their process.”

David Courtman

Director, Cell Manufacturing, Biotherapeutics Core Facilities,
Ottawa Hospital Research Institute

“In other words, everything you do in your manufacturing, you need to have evidence that it is safe and that it’s producing what you expect. If you have that, you are in a much better space in terms of moving ahead in translation.”



Ottawa Hospital
Research Institute

Institut de recherche
de l’Hôpital d’Ottawa

A short guide to cell therapy manufacturing, part 1
<https://medium.com/the-expression/a-short-guide-to-cell-therapy-manufacturing-part-1-ad61e29f94b2>



Quality-by-design: **Concepts**

**BEGIN
WITH
THE END
IN MIND**

Covey 1989

- **QbD** is a concept based on that the quality should be designed into a product.
- In pharmaceutical sciences, QbD helps to **predefine the characteristics** of end-products based on desired outcomes, such as clinical performance, patient compliance and satisfaction, or safety.

INTERNATIONAL CONFERENCE ON HARMONISATION OF TECHNICAL
REQUIREMENTS FOR REGISTRATION OF PHARMACEUTICALS FOR HUMAN USE

ICH HARMONISED TRIPARTITE GUIDELINE

PHARMACEUTICAL DEVELOPMENT

Q8(R2)



Quality-by-design: Elements

QTPP is a prospective summary of **the quality characteristics of a drug product** that ideally will be achieved to ensure the desired quality, taking into account safety and efficacy of the drug product.

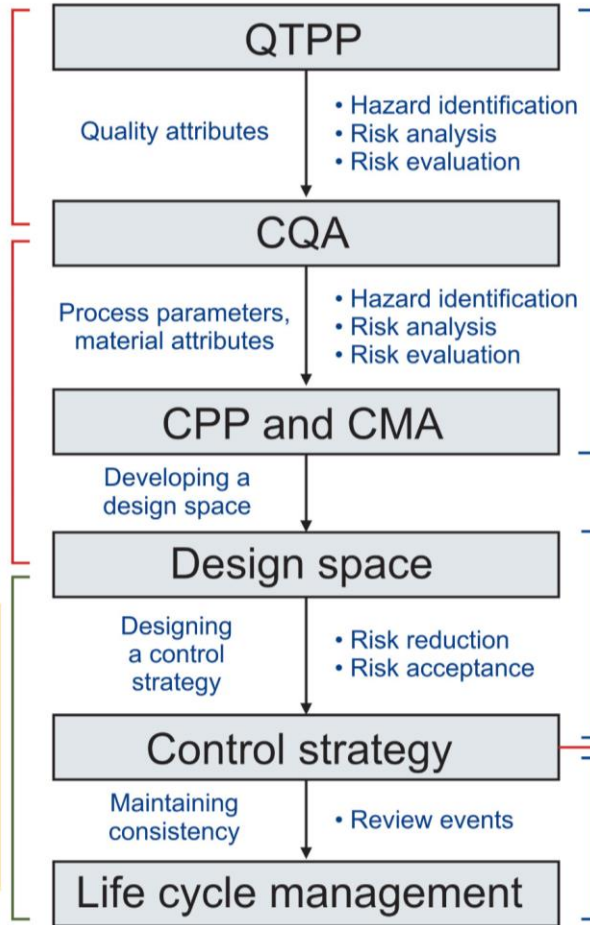
CQA is a physical, chemical, biological, or microbiological **property or characteristic** that should be within an appropriate limit, range, or distribution **to ensure the desired product quality.**

CMA and CPP are defined as “A **material or process** whose variability has an **impact a critical quality attribute** and therefore it should be monitored or controlled to ensure desired drug product quality”.

Product understanding
• Prior knowledge
• Product characterization

Process understanding
• Prior knowledge
• Process characterization

Control strategy
• High-risk CQAs:
Routine specification testing and other approaches
• Low-risk CQAs:
Non-specification approaches
• Non CQAs:
Some may be controlled if it is useful to monitor



Risk assessment to determine CQA
• Risks to efficacy and safety of a drug product
• Severity, probability, and detectability of risks

Risk control through control strategies
• Reduction of risks to efficacy and safety of a drug product

Risk review and continuous improvement of the product

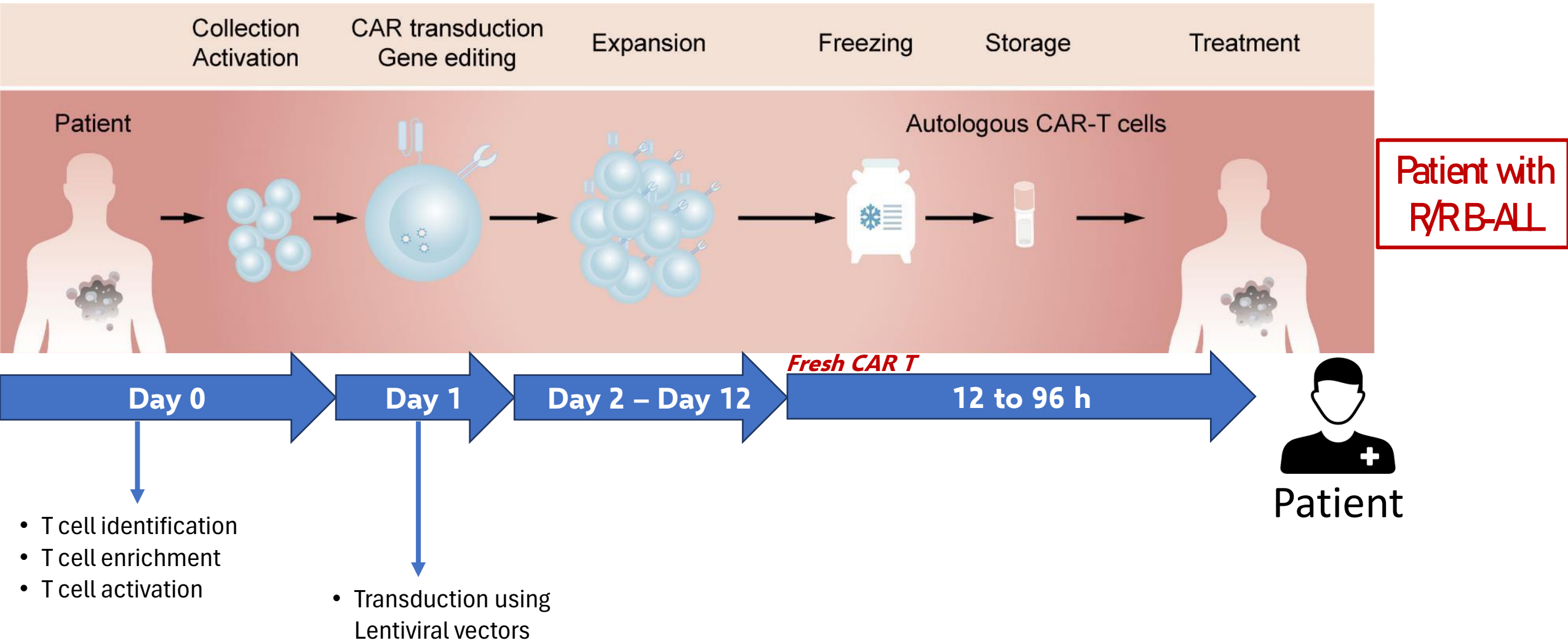
Tools to identify QbD elements:

- **Prior knowledge**
- **Risk assessment**
- Mechanistic model, design of experiments, and data analysis
- Process analytical technology

Limpikirati PK, Mongkoltipparat S, Denchaipradit T, Siwasophonpong N, Pornnapparat W, Ramanandana P, Pianpakr P, Tongchusak S, Tian MT, Pisitkun T. Basic regulatory science behind drug substance and drug product specifications of monoclonal antibodies and other protein therapeutics. J Pharm Anal. 2024 Jun;14(6):100916.



Quality-by-design: Identify QTPPs





Quality-by-design: QTPPs

Product dosage form	Suspension for IV infusion, Fresh CAR T product
Indications	CD19+ hematological malignancies
Total cells per dose	30 to 1,000 million T cells with at least 10% CD19 CAR T cells
Identity	<ul style="list-style-type: none">• Presence of T cells (CD3+)• Absolute number of CD4+, CD8+ T cells
Net volume	30 mL
Process lot size	4 bags of cells (2 for retention, 1 for release testing, 1 for quarantine)
Administration time	IV infusion 30 minutes within 96 h after product release
Transfer conditions	2-8 °C
Container closure system	Identical primary packaging to RLD
Package integrity	No failure
Purity	<ul style="list-style-type: none">• Absence of cellular Impurities (NK cells, monocytes, CD19+ B cells)• Absence of magnetic beads

Stability	Shelf life: 96 hours for fresh product
Pre-conditioning Regimen	Cyclophosphamide and fludarabine prior to infusion
Dosing Regimen	Single infusion; split dosing optional
Adverse Event Management	<ul style="list-style-type: none">• Recommended protocols for CRS and ICANS management• Hospitalization requirements and follow-up
Potency	<ul style="list-style-type: none">• Phenotypic profile confirmation of CD19 CAR T cells• Vector copy number• Cytotoxic assay of CD19+ tumor cells• Cytokine release assay• Cell viability
Safety	<ul style="list-style-type: none">• Insertional mutagenesis risk• Replication competent lentivirus• Sterility testing• Endotoxin testing• Mycoplasma contamination testing



Quality-by-design: Identify CQAs

Product dosage form	Suspension for IV infusion, Fresh CAR T product
Indications	CD19+ hematological malignancies
Total cells per dose	30 to 1,000 million T cells with at least 10% CD19 CAR T cells
Identity	<ul style="list-style-type: none">• Presence of T cells (CD3+)• Absolute number of CD4+, CD8+ T cells
Net volume	30 mL
Process lot size	4 bags of cells (2 for retention, 1 for release testing, 1 for quarantine)
Administration time	IV infusion 30 minutes within 96 h after product release
Transfer conditions	2-8 °C
Container closure system	Identical primary packaging to RLD
Package integrity	No failure
Purity	<ul style="list-style-type: none">• Absence of cellular Impurities (NK cells, monocytes, CD19+ B cells)• Absence of magnetic beads

Stability	Shelf life: 96 hours for fresh product
Pre-conditioning Regimen	Cyclophosphamide and fludarabine prior to infusion
Dosing Regimen	Single infusion; split dosing optional
Adverse Event Management	<ul style="list-style-type: none">• Recommended protocols for CRS and ICANS management• Hospitalization requirements and follow-up
Potency	<ul style="list-style-type: none">• Phenotypic profile confirmation of CD19 CAR T cells• Vector copy number• Cytotoxic assay of CD19+ tumor cells• Cytokine release assay• Cell viability
Safety	<ul style="list-style-type: none">• Insertional mutagenesis risk• Replication competent lentivirus• Sterility testing• Endotoxin testing• Mycoplasma contamination testing



Quality-by-design: Specification Development (1)

Description

- Suspension for IV infusion
- Fresh cell product

Identity

- T cells (CD3⁺ cells)
- CAR⁺ T cells

Quantity

- Number of viable T cells (CD3⁺ cells)
- Percentage of CAR⁺ T cells in total viable T cells

Purity

Organic impurities

- NK cells, Monocytes, B cells
- Residual plasmid DNA
- Product-related impurities

Elemental impurities

- Magnetic beads

Residual solvents

- Culture medium
- DMSO

Mutagenic impurities

- Replicate-competent viruses
- Cells with insertional mutagenesis

Potency

- Cytotoxic assay
- Cytokine release assay
- Vector copy number

Specific tests

- Sterility tests
- Mycoplasma contamination tests
- Bacterial endotoxins
- pH
- Osmolality
- Particulate matters
- Container closure integrity



Quality-by-design: **Specification Development (2)**

Specification category	Quality attributes	Test procedures	Acceptance criteria
Appearance and description		Visual inspection	Cell suspension in clear, amber solution; no visible particulates
Identity	CD3+ T cells	Flow cytometry	Meet the requirements in Quantity
	CAR+ cells	Flow cytometry	Meet the requirements in Quantity
Quantity / Assay	Total viable T cells	Flow cytometry	NLT 10×10^8 cells
	Percentage of CAR+ cells	Flow cytometry	NLT 10% of the total viable T cells
Purity	Total cells with CD19+, CD20+, HLA-DR+, CD11c+, CD14+, CD16+, CD56+ or CD123+	Flow cytometry	NMT 0.1% of the total viable cells
	Magnetic beads	ICP-MS	Absence
	DMSO	GC-MS	NMT 0.1%
	Residual DNA	qPCR	NMT 40 ppb
	Replication Competent Lentivirus	RCL assay	NMT 1 RCL/mL
	Oncogene insertion	qPCR	Meet the requirements in Potency (Vector copy number)



Quality-by-design: Specification Development (3)

Specification category	Quality attributes	Test procedures	Acceptance criteria
Potency	Cytotoxic assay	Cell-based assay	NLT 50% cell lysis
	Cytokine release assay	ELISPOT	NLT 100 SFUs per well
	Vector copy number	qPCR	NMT 5 copies per cells
Specific tests	pH	USP <791>	5.5-6.5
	Osmolarity	USP <785>	310-370 mOsm/kg
	Bacterial endotoxins	USP <85>	NMT 0.20 EU/mg
	Sterility	USP <71>	No microbial growth
	Mycoplasma	USP <63>	Negative result of its nucleic acid

Recap: QbD & Specification Development

- **Risks:** Quality, safety and efficacy of biologics and ATMPs are highly dependent on the processes, Risks must be thoroughly identified and mitigated.
- **QbD:** QbD a systematic approach that defines the desired characteristics of the final product.
- **Setting-up a specification:** CQAs, derived from the QbD process, form the foundation for developing product specifications.



Appearance and description

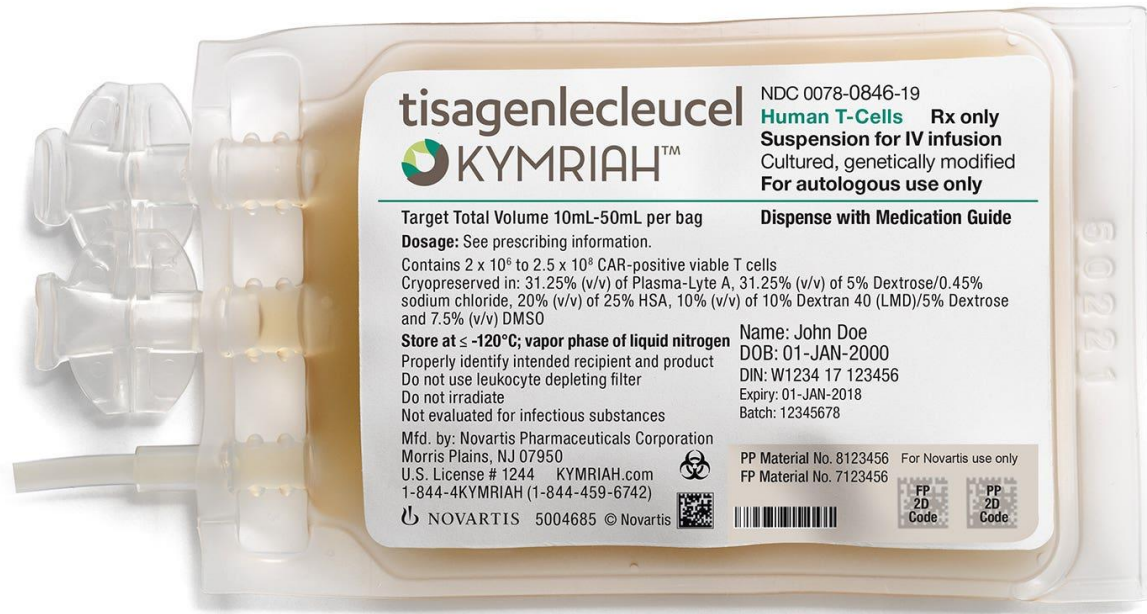


Image courtesy of Novartis Pharmaceuticals Corporation



Photo by Penn Medical

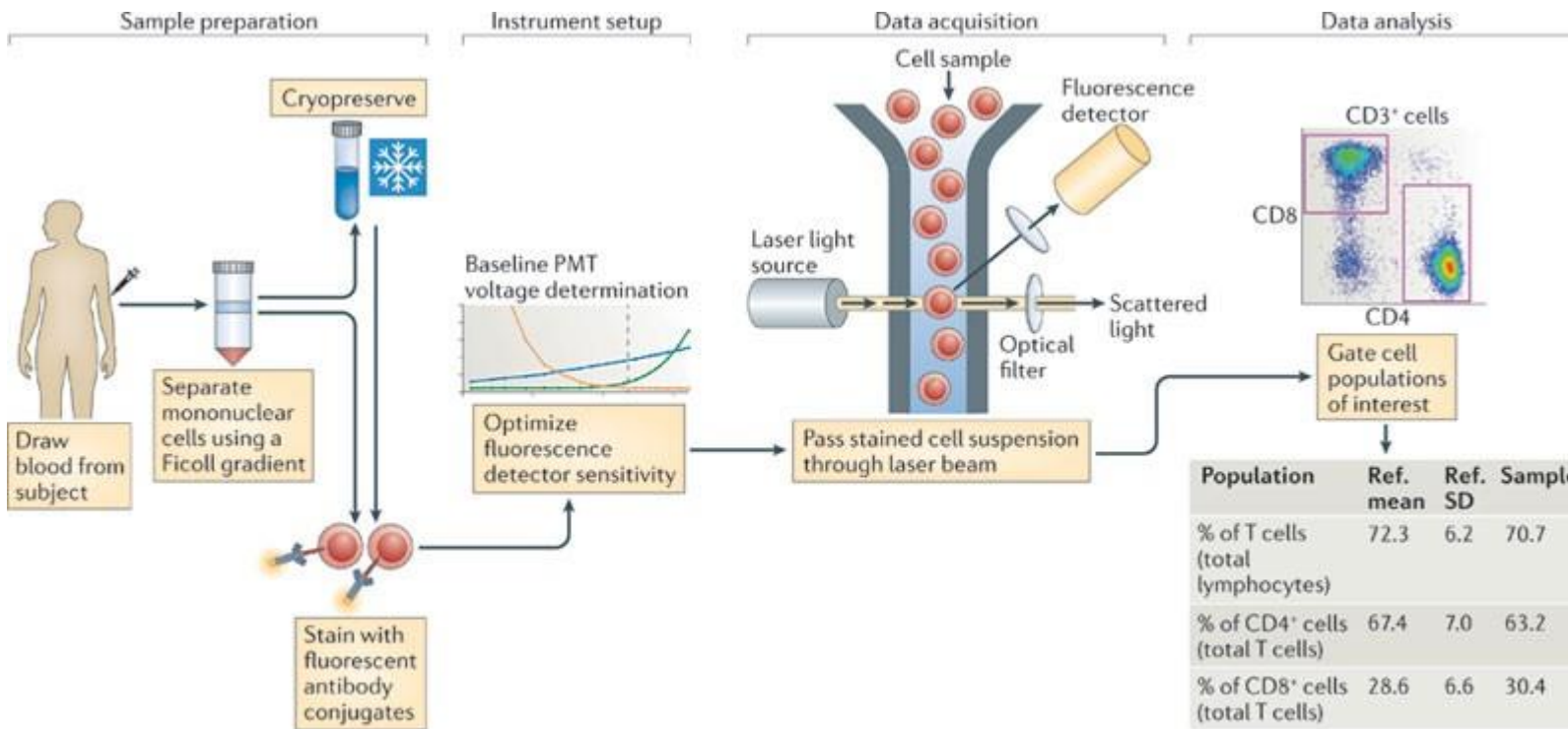
Image courtesy of Eurofins Viracor, LLC



Identity and Quantity: Flow cytometry

Flow cytometry is a **widely used** but **technically complex** tool; the practical application of which is recognised to be diverse and often challenging to standardise. **Standardisation is critical** in supporting robust data generation, enabling data comparability between users and instruments, and when applied to development of ATMPs, ensuring reproducible product quality, safety, and efficacy throughout the entire product lifecycle.

British Pharmacopoeia: Advanced Therapy Medicinal Products Guidance, Application of Flow Cytometry



Recommended surface markers (special for T cell products):

T cells: CD3 (to define T cells), CD4, CD8, CD45RA and CCR7

B cells: CD19 and CD20 (to define B cells), CD38 (plasmablasts and transitional B cells), CD24 (transitional B cells), and IgD and CD27 (naive and memory B cell)

NK cells, Dendritic cells, and Monocytes: HLA-DR, CD11c, CD14, CD16, CD56 and CD123

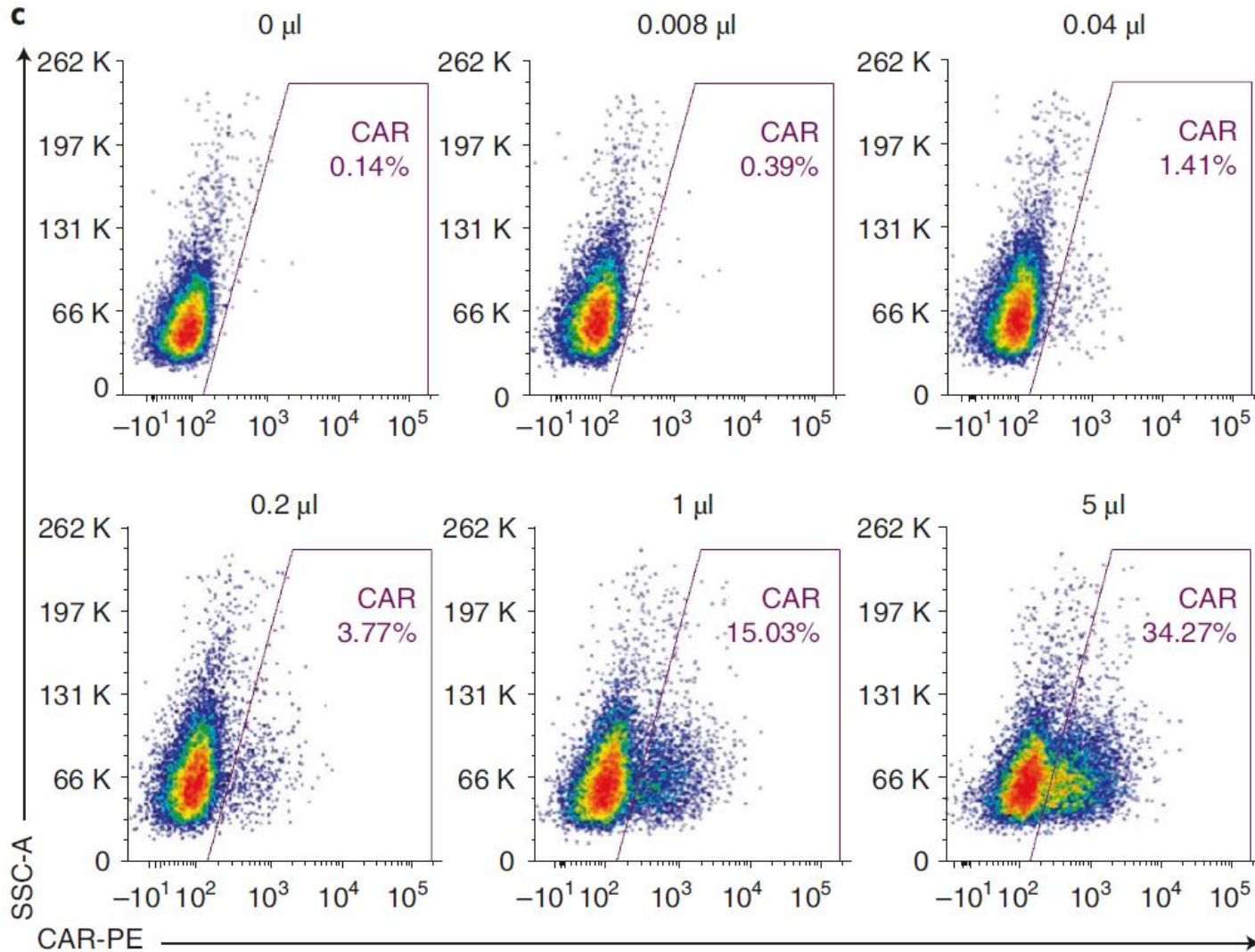
Nature Reviews | Immunology

Recommended articles for T cell characterization by Flow cytometry

- Maecker, H., McCoy, J. & Nussenblatt, R. Standardizing immunophenotyping for the Human Immunology Project. *Nat Rev Immunol* **12**, 191–200 (2012). <https://doi.org/10.1038/nri3158>



Quantity: Flow cytometry



Gene transfer activity of a CD8-LV stock encoding the CD19-CAR. Serial dilutions of the vector stock were incubated with Molt4.8 cells. CAR expression was measured by the expression of the myc-tag after 4 d via flow cytometry. Side scatter area (SSC-A) is displayed on a linear scale, whereas the axis scale for the CAR (PE) is logarithmic.

Weidner, T., Agarwal, S., Perian, S. et al. Genetic in vivo engineering of human T lymphocytes in mouse models. *Nat Protoc* **16**, 3210–3240 (2021).



Purity: Flow cytometry

nature
medicine

BRIEF COMMUNICATION

<https://doi.org/10.1038/s41591-018-0201-9>

Induction of resistance to chimeric antigen receptor T cell therapy by transduction of a single leukemic B cell

We report a patient relapsing 9 months after CD19-targeted CAR T cell (CTL019) infusion with CD19⁺ leukemia that aberrantly expressed the anti-CD19 CAR. The CAR gene was unintentionally introduced into a single leukemic B cell during T cell manufacturing, and its product bound in cis to the CD19 epitope on the surface of leukemic cells, masking it from recognition by and conferring resistance to CTL019.

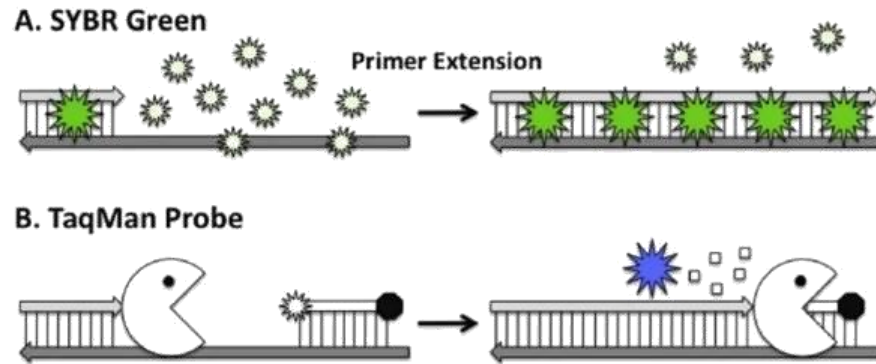
Marco Ruella ^{1,2,3,4,5,11}, Jun Xu^{1,2,3,11}, David M. Barrett^{6,11}, Joseph A. Fraietta^{1,2,3,4}, Tyler J. Reich ¹, David E. Ambrose¹, Michael Klichinsky^{1,7}, Olga Shestova¹, Prachi R. Patel¹, Irina Kulikovskaya¹, Farzana Nazimuddin¹, Vijay G. Bhoj^{1,2,3}, Elena J. Orlando⁸, Terry J. Fry ⁹, Hans Bitter⁸, Shannon L. Maude⁶, Bruce L. Levine ^{1,2,3}, Christopher L. Nobles¹⁰, Frederic D. Bushman¹⁰, Regina M. Young¹, John Scholler¹, Saar I. Gill^{1,3,5}, Carl H. June ^{1,2,3,4*}, Stephan A. Grupp⁶, Simon F. Lacey^{1,2,3,12} and J. Joseph Melenhorst^{1,2,3,12*}

CD19 CAR-transduced B cells (CARB)

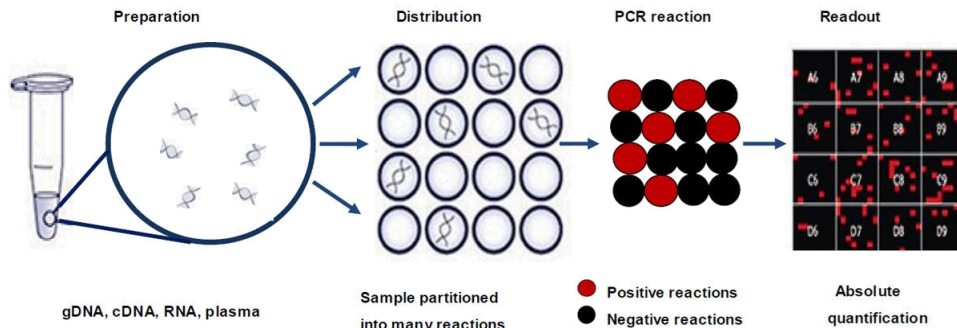


Purity: Quantitative polymerase chain reaction (PCR)

Real-time quantitative PCR (RT-qPCR)



Droplet Digital PCR (ddPCR)

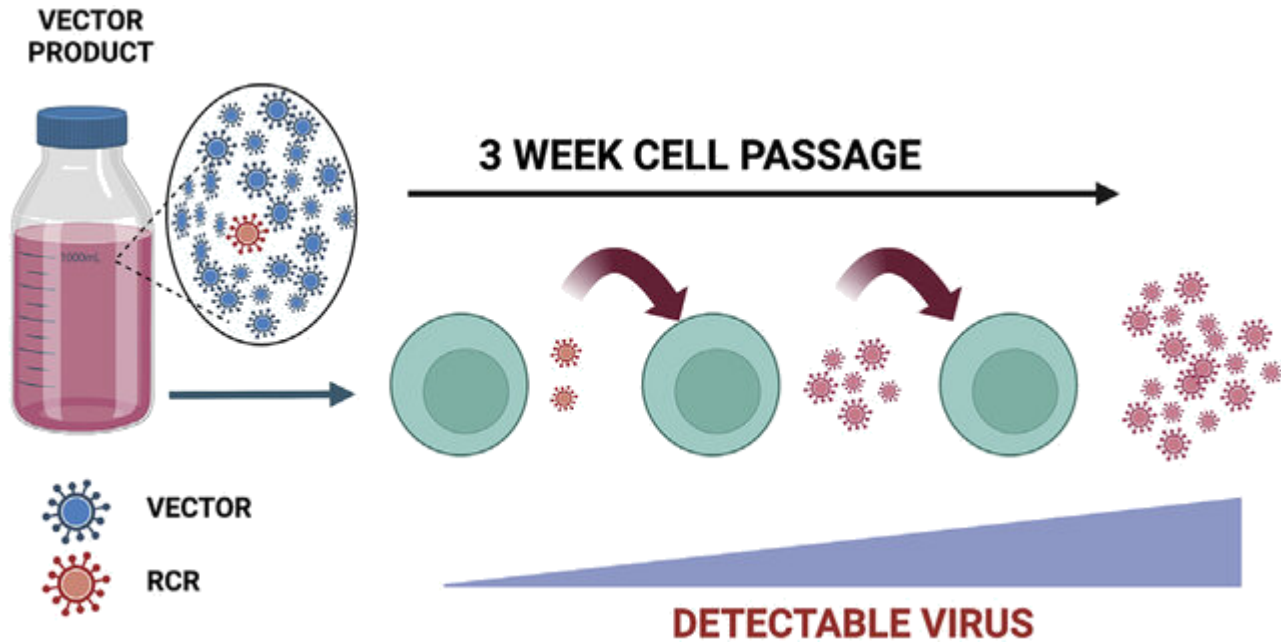


Comparative Strengths of qPCR and dPCR	
Strengths of qPCR	Strengths of dPCR
Established technology	Emerging technology
Relative measurement, ideally suited for gene expression analysis	Absolute measurement eliminates need for standard curve
Wide choice in detection chemistry and reaction volume equates to flexible running costs	High precision for better reproducibility for low input target concentrations
Large dynamic range	Greater sensitivity for rare mutation detection
Higher throughput, automation compatibility	Improved precision for higher copy number variation analysis

Image courtesy of Drug Discovery World



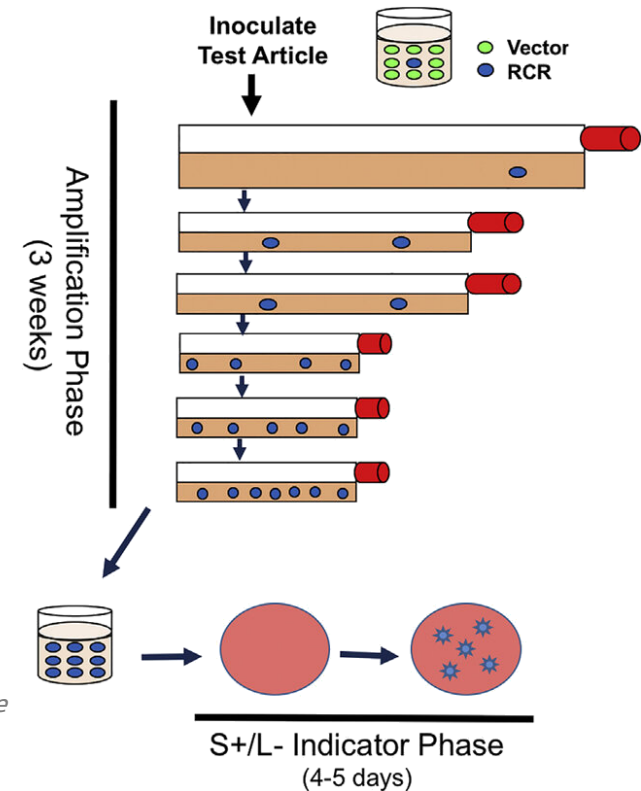
Purity: RCL assay



Cornetta K, Lin TY, Pellin D, Kohn DB. Meeting FDA Guidance recommendations for replication-competent virus and insertional oncogenesis testing. Mol Ther Methods Clin Dev. 2022 Dec 2;28:28-39.

Ref: Cornetta K, Duffy L, Feldman SA, Mackall CL, Davila ML, Curran KJ, Junghans RP, Tang JY, Kochenderfer JN, O’Cearbhaill R, Archer G, Kiem HP, Shah NN, Delbrook C, Kaplan R, Brentjens RJ, Rivière I, Sadelain M, Rosenberg SA. Screening Clinical Cell Products for Replication Competent Retrovirus: The National Gene Vector Biorepository Experience. Mol Ther Methods Clin Dev. 2018 Aug 17;10:371-378.

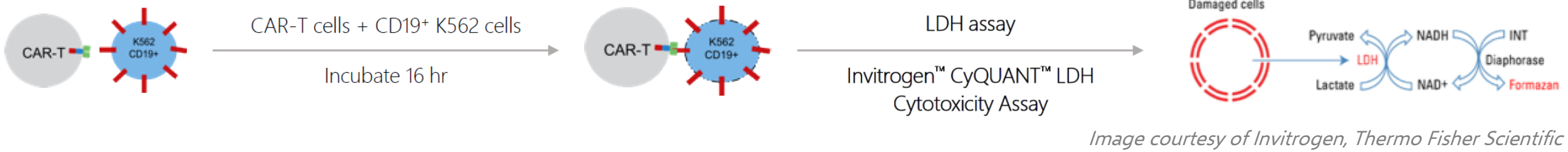
USFDA, Testing of Retroviral Vector-Based Human Gene Therapy Products for Replication Competent Retrovirus During Product Manufacture and Patient Follow-up: Guidance for Industry





Potency: Functional assay

Functional assay: Cytotoxic assay



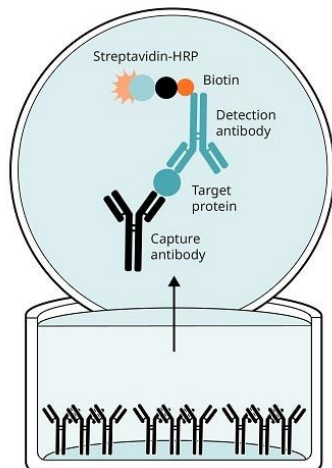
Viability measurement could be conducted using:

- MTT uptake,
- Chromium-51 release (although there is an industry-wide move away from radioactivity-based assays),
- Lactate dehydrogenase activity,
- and luciferase activity (which requires a luciferase expressing tumour target cell line).

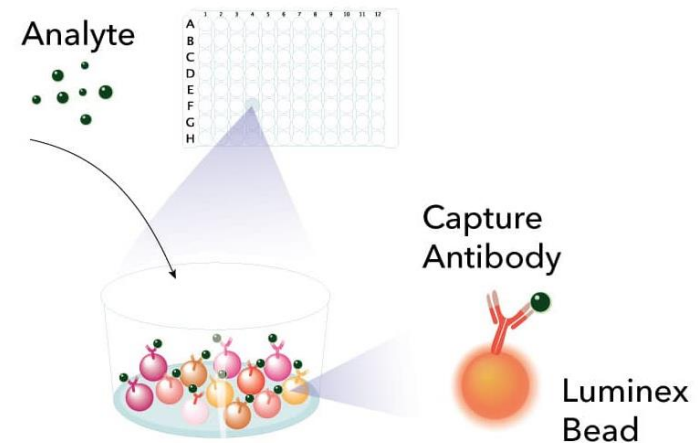
Functional assay: Release of key cytokines

E.g., IFN- γ , IL-2, and Granzyme B

ELISA



ELISPOT or Multiplex assay



Recap: Quality control

- **Tests:** Quality control tests should encompass the categories outlined in ICH Q6B, including appearance, identity, quantity, purity and potency.
- **Flow cytometry and qPCR:** These are essential techniques in cell and gene therapy quality control.
- **Functional assay:** Cell-Based Assays are required to confirm the potency of the product by evaluating its efficacy in killing target cells or eliciting the desired immune response.

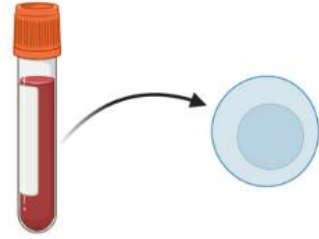


Future of IECs: Overview

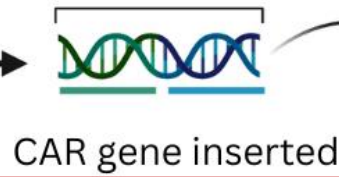
Source of cells;

- Allogeneic cells (off-the-shelf)
- In vivo engineering or reprogramming

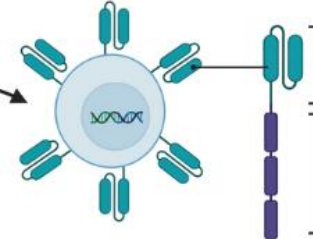
1) T-cells purified from blood



2) Create CAR T- cells



CAR gene inserted



CAR T-cell

Development of new receptors;

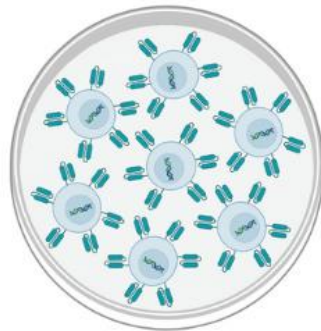
- Head-to-head comparison of existing CAR or TCR
- New generation CAR

Gene delivery;

- T cell targeting
- Precision gene insertion
- Non-viral vector

Manufacturing process;

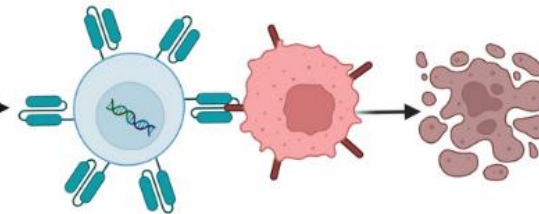
- T cell processing
- Processing time and cost



3) Expand CAR T-cells



4) Infuse CAR T-cells



5) Death of cancer cells

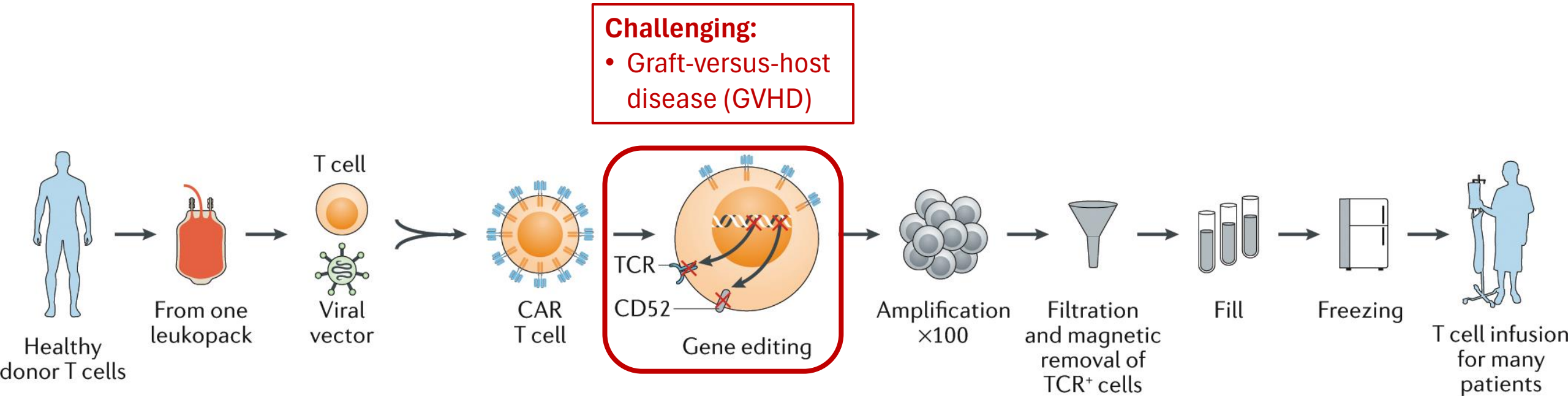
Extended application;

- Solid tumor

Treatment regimen;

- Combination therapy
- ADR management

Off-the-shelf: **Allogeneic cell transfer**

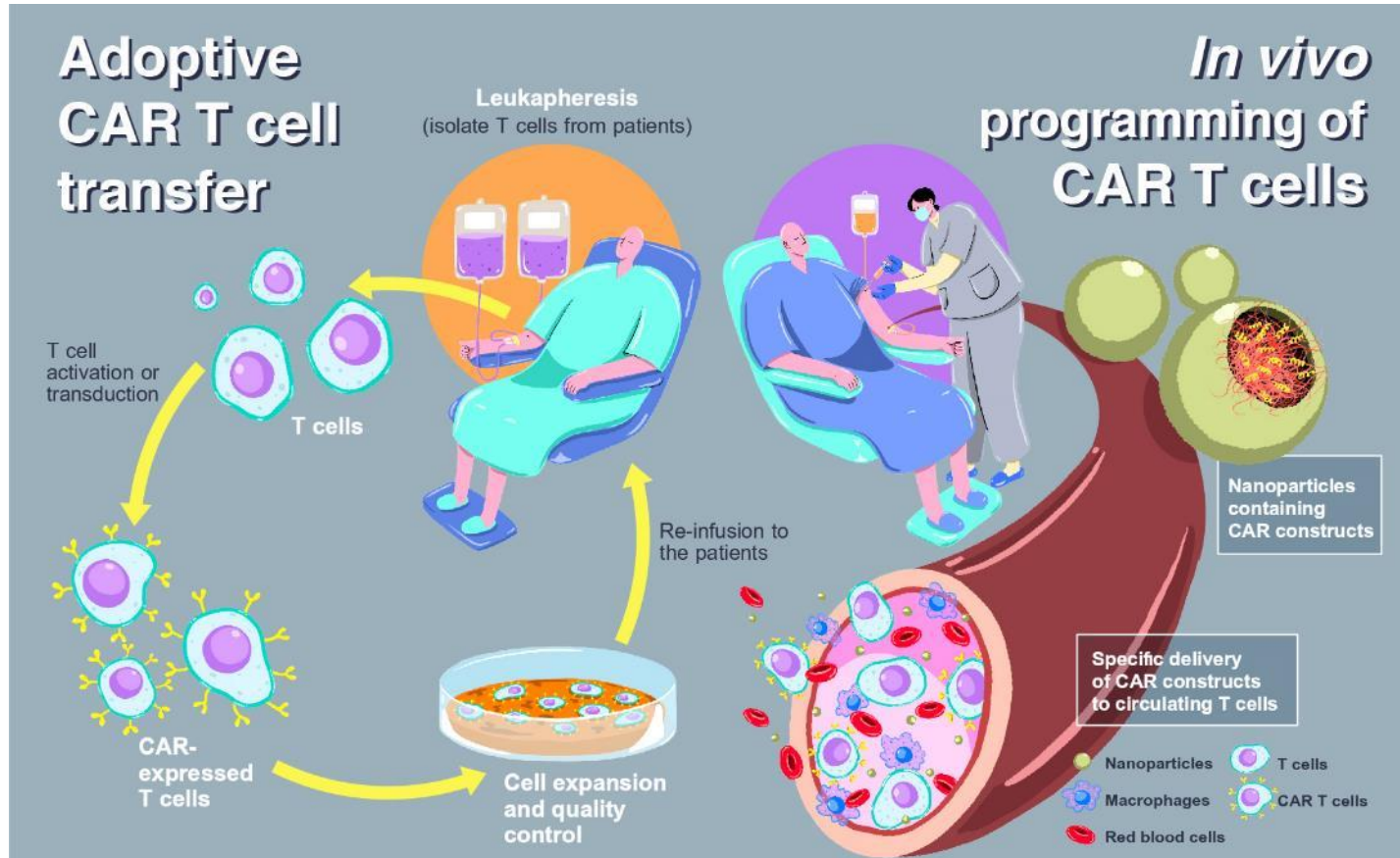


- Using allogeneic CAR T cells derived from a stem cell transplant donor (limited for relapse patients with previous allogeneic SCT).
- Using virus-specific memory T cells
- Using non- $\alpha\beta$ T cells (e.g., NK cells, NK T cells, $\gamma\delta$ T cells)
- Using gene editing

Depil, S., Duchateau, P., Grupp, S.A. et al. 'Off-the-shelf' allogeneic CAR T cells: development and challenges. Nat Rev Drug Discov 19, 185–199 (2020).

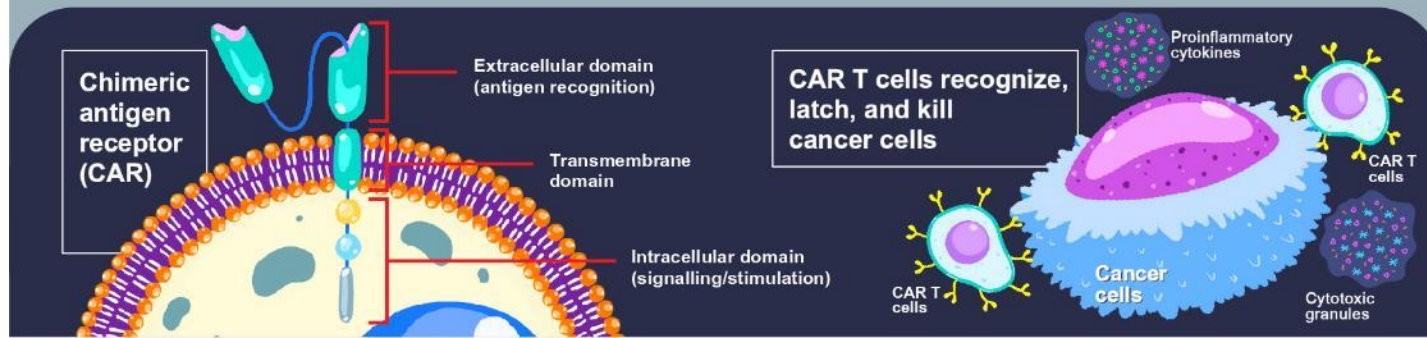


Off-the-shelf: **In vivo reprogramming/engineering cells**



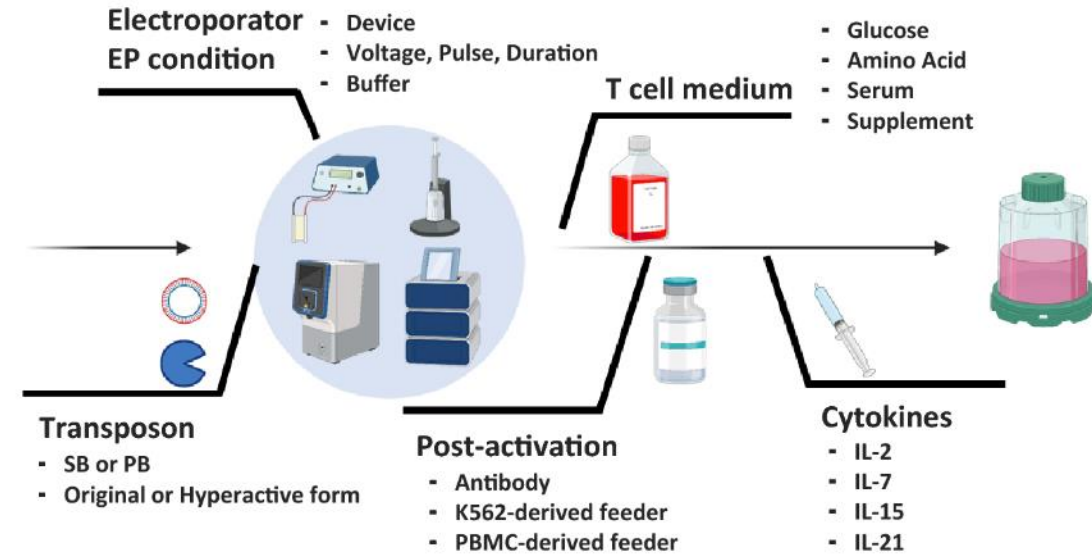
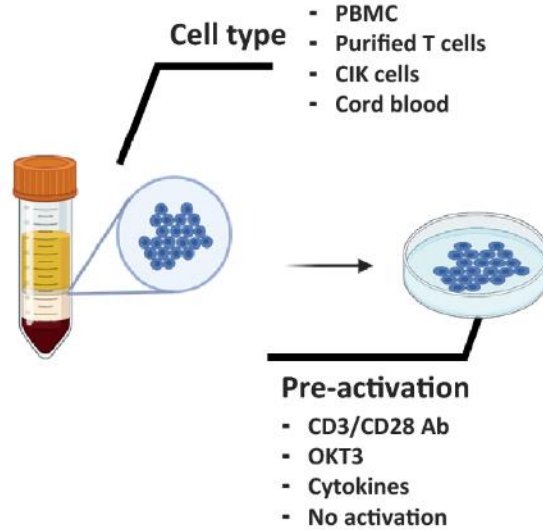
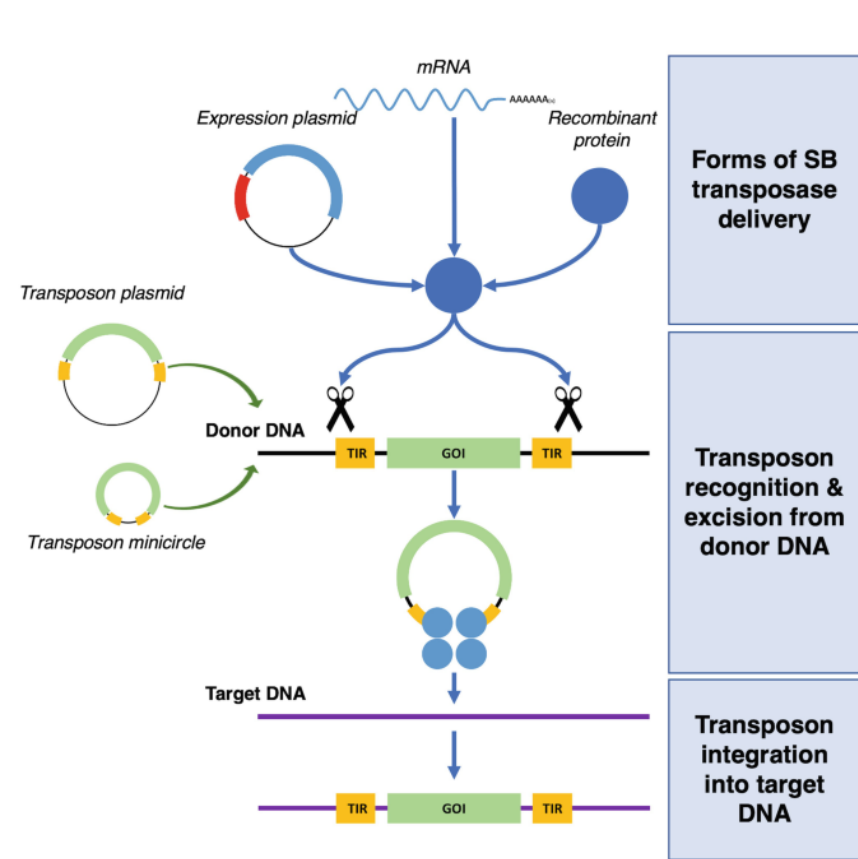
Major concerns:

- Gene delivery system (Integrating viral vector vs non-viral vector)
- Efficiency (limited number of T cells, no T cell selection, persistence of CAR T cells)
- Targetability (cancer in disguise)



Laomeephol C, Areecheewakul S, Tawinwung S, Suppipat K, Chunhacha P, Neves NM, Luckanagul JA. Potential roles of hyaluronic acid in in vivo CAR T cell reprogramming for cancer immunotherapy. *Nanoscale*. 2022 Dec 15;14(48):17821-17840.

Gene delivery: Targeting gene insertion



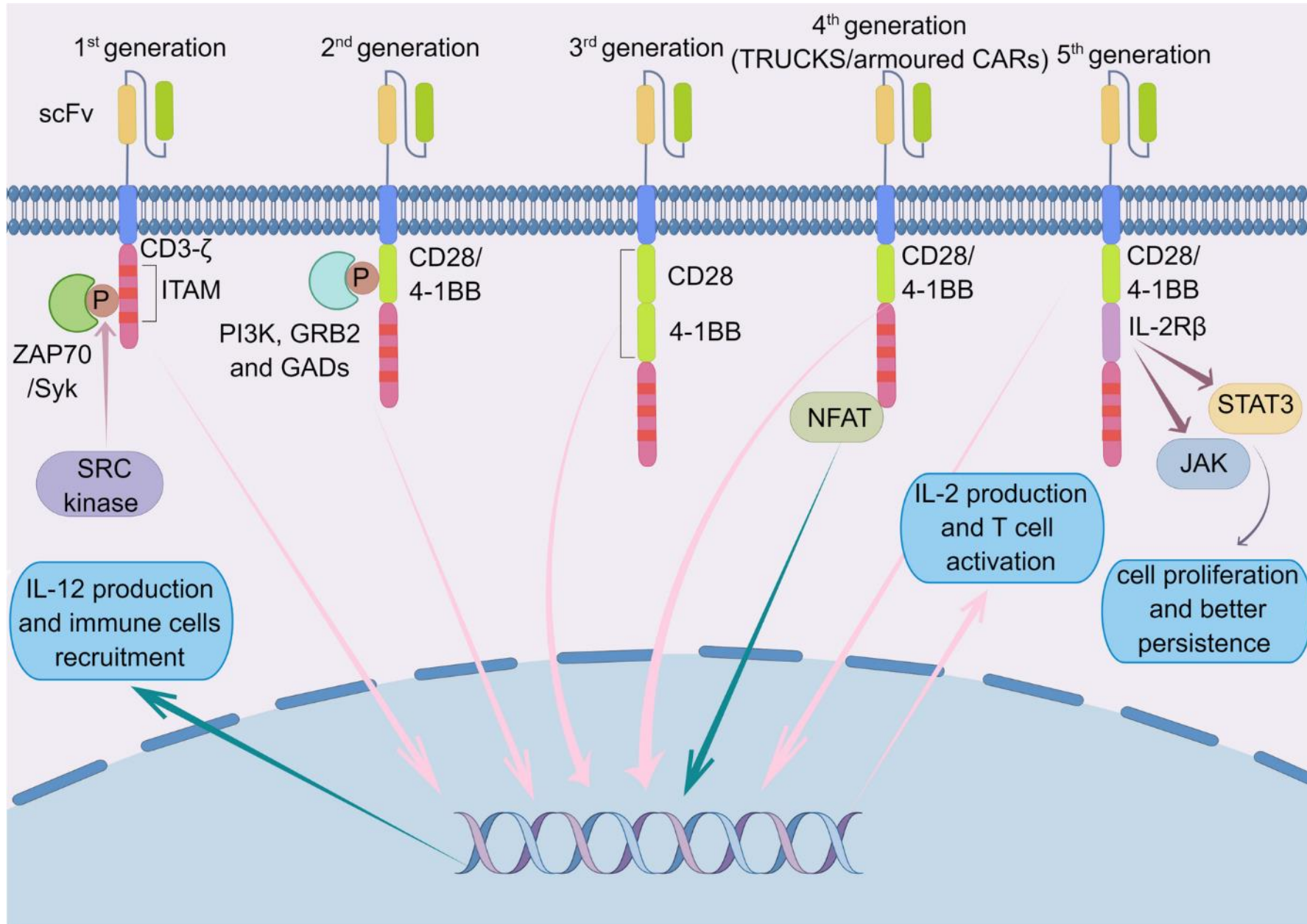
Yagyu, S., Nakazawa, Y. piggyBac-transposon-mediated CAR-T cells for the treatment of hematological and solid malignancies. *Int J Clin Oncol* **28**, 736–747 (2023).

Objectives:

- Non-viral gene integrating delivery
- Insertional mutagenesis



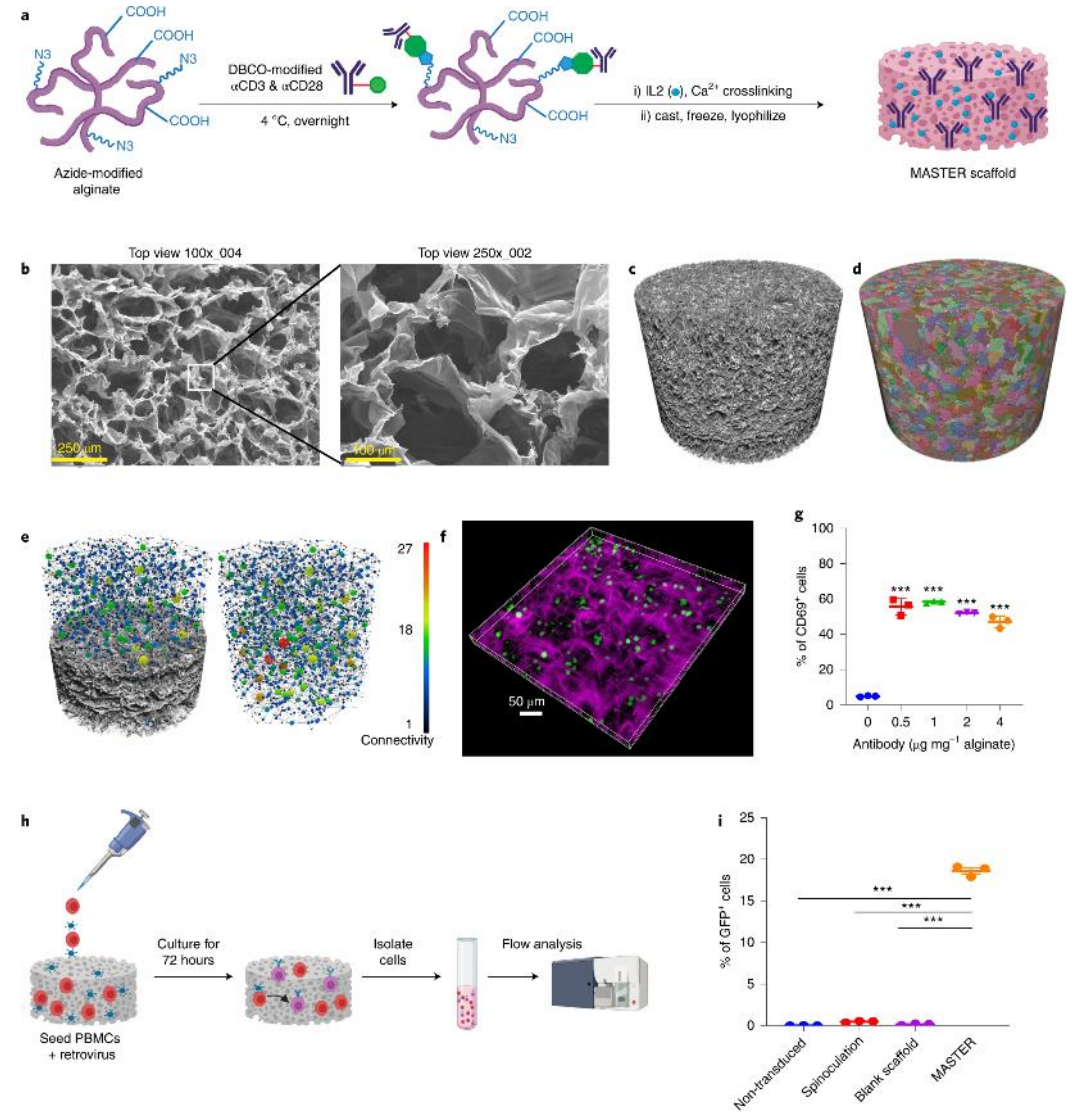
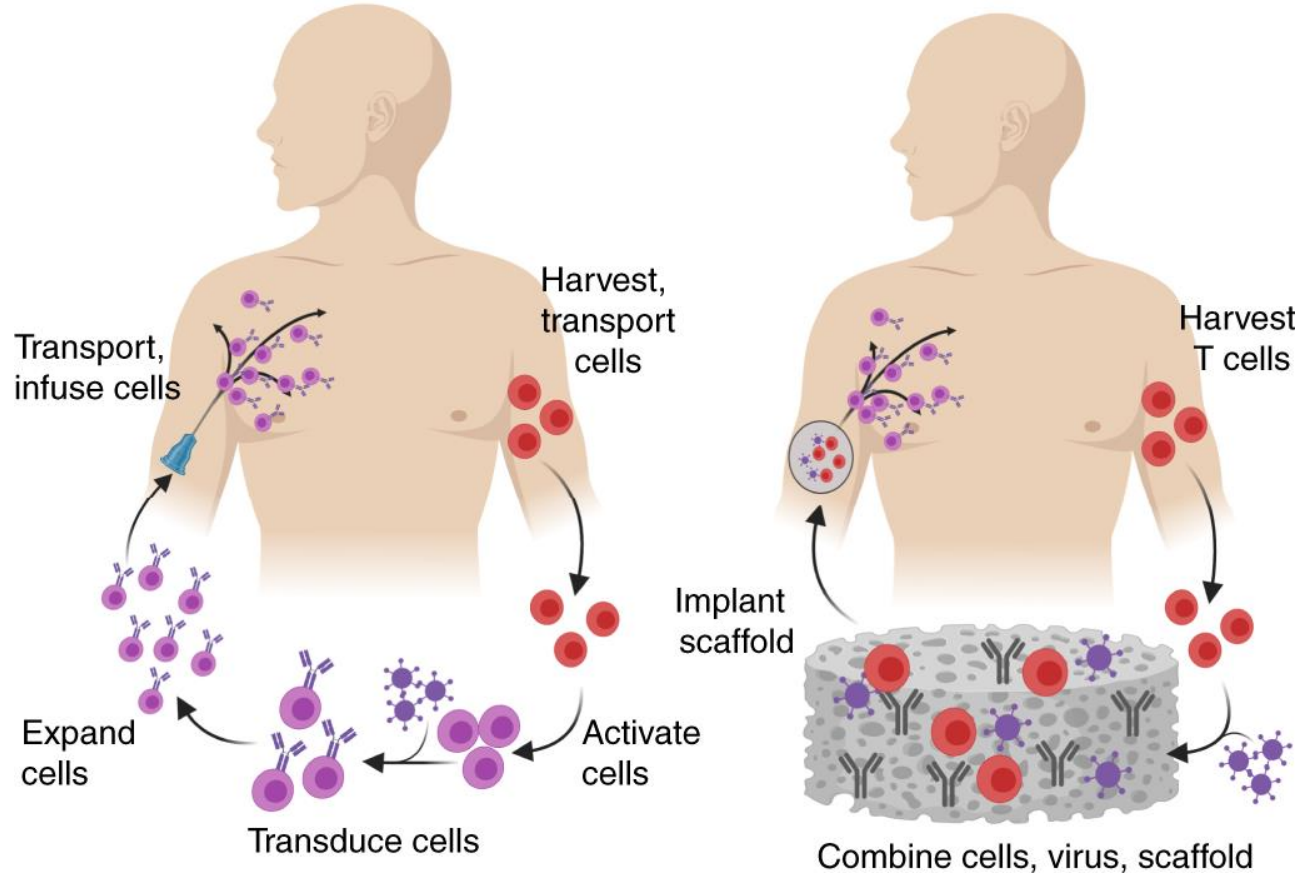
CAR development: **New CAR**



Manufacturing: Time and cost reduction

Conventional CAR-T cell generation
14+-day procedure

MASTER CAR-T cell generation
<1-day procedure



Agarwalla, P., Ogunnaike, E.A., Ahn, S. et al. Bioinstructive implantable scaffolds for rapid in vivo manufacture and release of CAR-T cells. *Nat Biotechnol* **40**, 1250–1258 (2022).

Recap: Future of IECs

- **Emerging Field:** IEC therapies are rapidly advancing in cancer treatment, with numerous innovative products currently under development.
- **Key Focus Areas:** Efforts are directed toward improving safety and quality, while also prioritizing the development of off-the-shelf products and advancing manufacturing technologies.
- **Regulatory Evolution:** Regulatory frameworks must remain adaptable to accommodate novel products and evolving industry standards.

Contact:

Chavee Laomeephol

Department of Biochemistry and
Microbiology, Faculty of
Pharmaceutical Sciences,
Chulalongkorn University

Email: Chavee.L@chula.ac.th

